

Quantum Well and Quantum Dot Intermixing for Optoelectronic Device Integration

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Overview

- Introduction
- Methods of Intermixing
- **Quantum Wells**
- Ion Implantation Induced Interdiffusion
GaAs/AlGaAs, InGaAs/AlGaAs, InP/InGaAs QWs
- Lasers, Photodetectors
- Impurity Free Interdiffusion
GaAs/AlGaAs, InGaAs/AlGaAs, InGaAsN/GaAs QWs
- Integrated Waveguide-Laser
- **Quantum Dots**
- Suppression of Interdiffusion
- Implantation Induced Interdiffusion
- Summary



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Photonic Integrated Circuits / Optoelectronic Integrated Circuits

- Integrated Circuits Show Superior Performance Over Discrete Devices**
- Multi-functional circuits, e.g. WDM sources**
- Integrated Transceivers**
- Low Cost, Packaging**

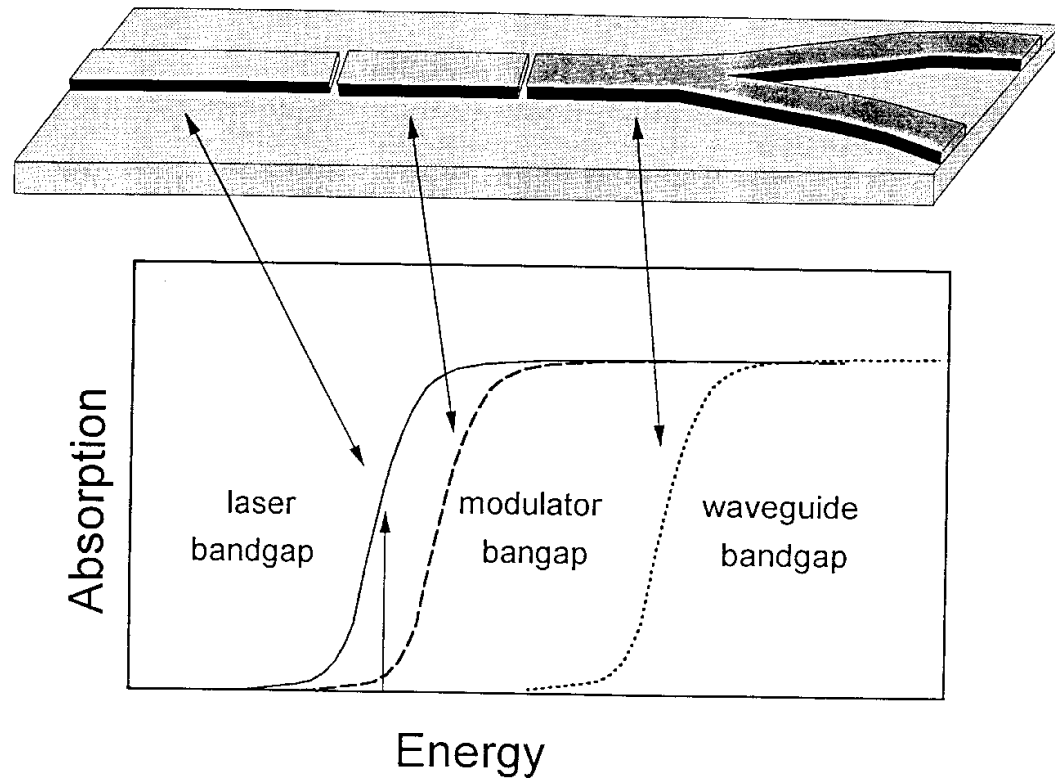


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Photonic Integrated Circuits

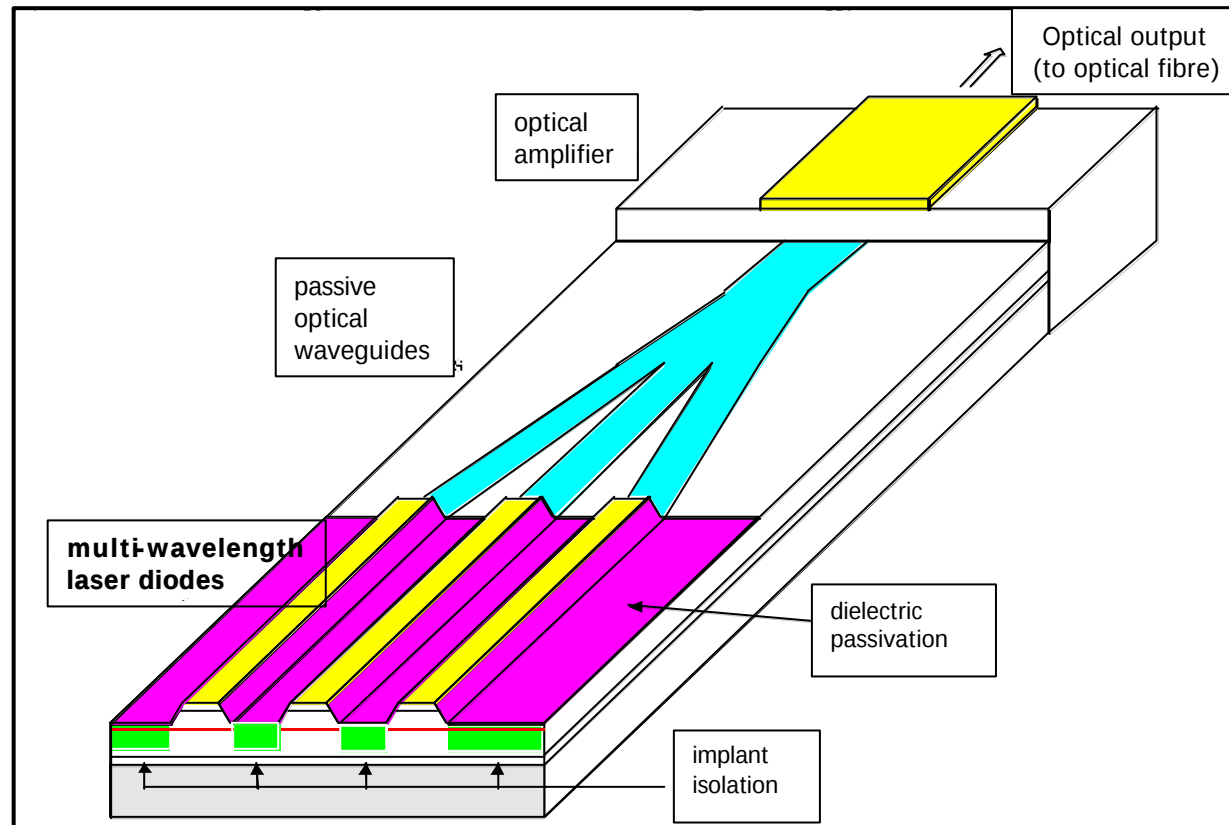
**Different Bandgaps
on the same chip**



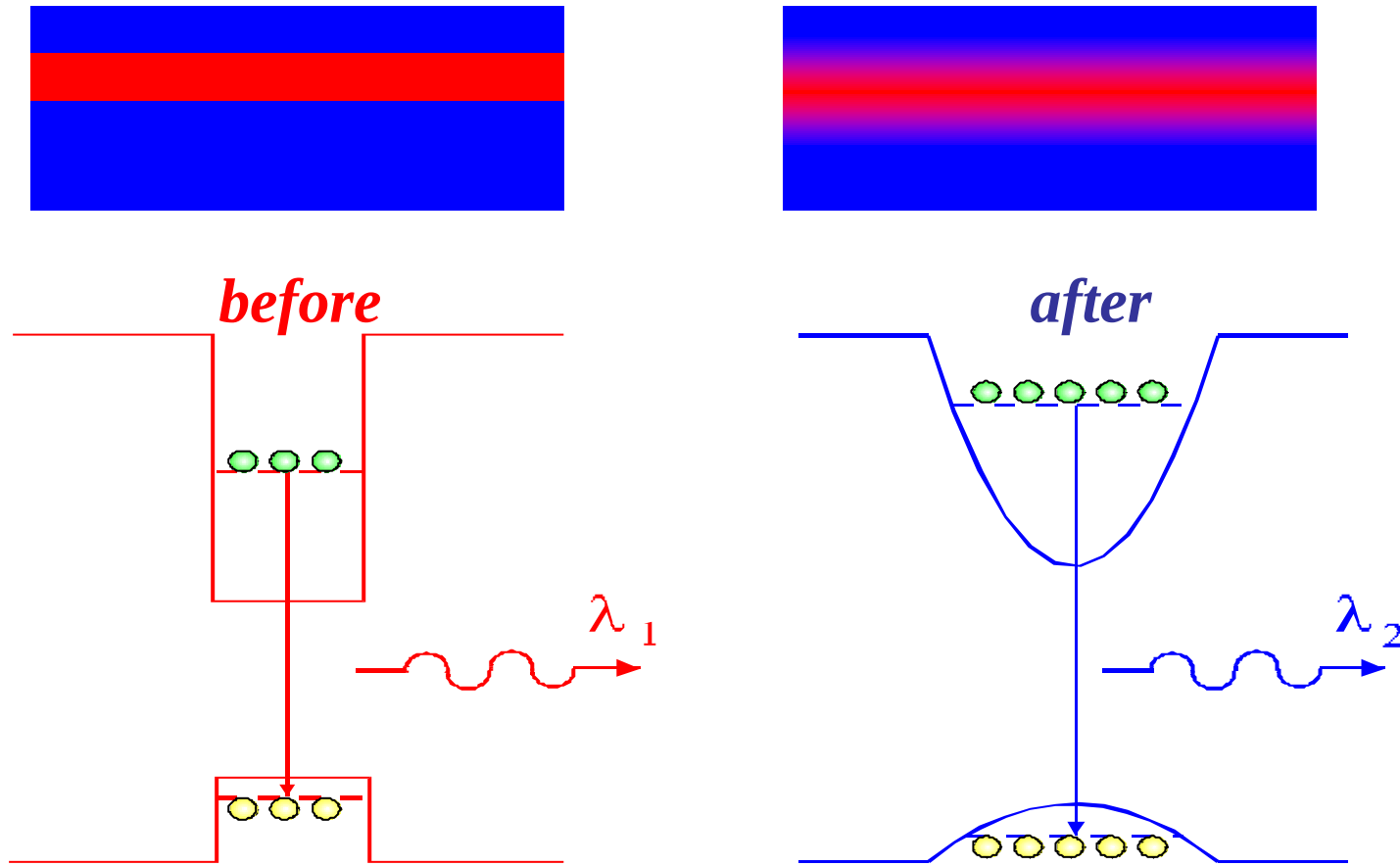
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WDM Source



Quantum Well Intermixing



- Diffusion of In and Ga across interface creates graded region in the case of GaAs/InGaAs Quantum Wells
- Changes Bandgap, refractive index, absorption Coefficient

Methods Widely Used for Quantum Well (Dot?) Intermixing

Impurity Induced Disordering, e.g. Zn, Si

Impurity Free Interdiffusion, e.g. SiO₂, SOG

Ion Implantation Induced Interdiffusion

Defects/ Impurities introduced by these methods enhance atomic interdiffusion

Goals:

High Selectivity and Low Concentration of Residual Defects while achieving large band gap differences



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Why Ion Implantation?

Widely used in Microelectronics Industry

Defect Concentration

**- Ion Dose, Ion Mass, Implant Temperature,
Dose Rate**

Defect Depth - Ion Energy

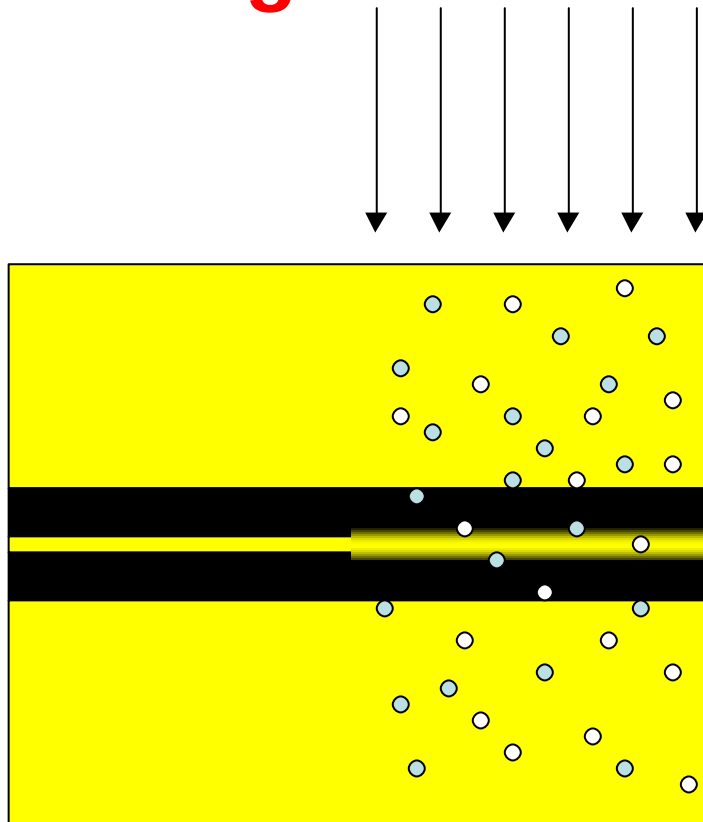
Selective Ion Implantation using Masks



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Ion implantation induced quantum well intermixing



Point defects:

Ion implantation



○ Vacancy

○ Interstitial



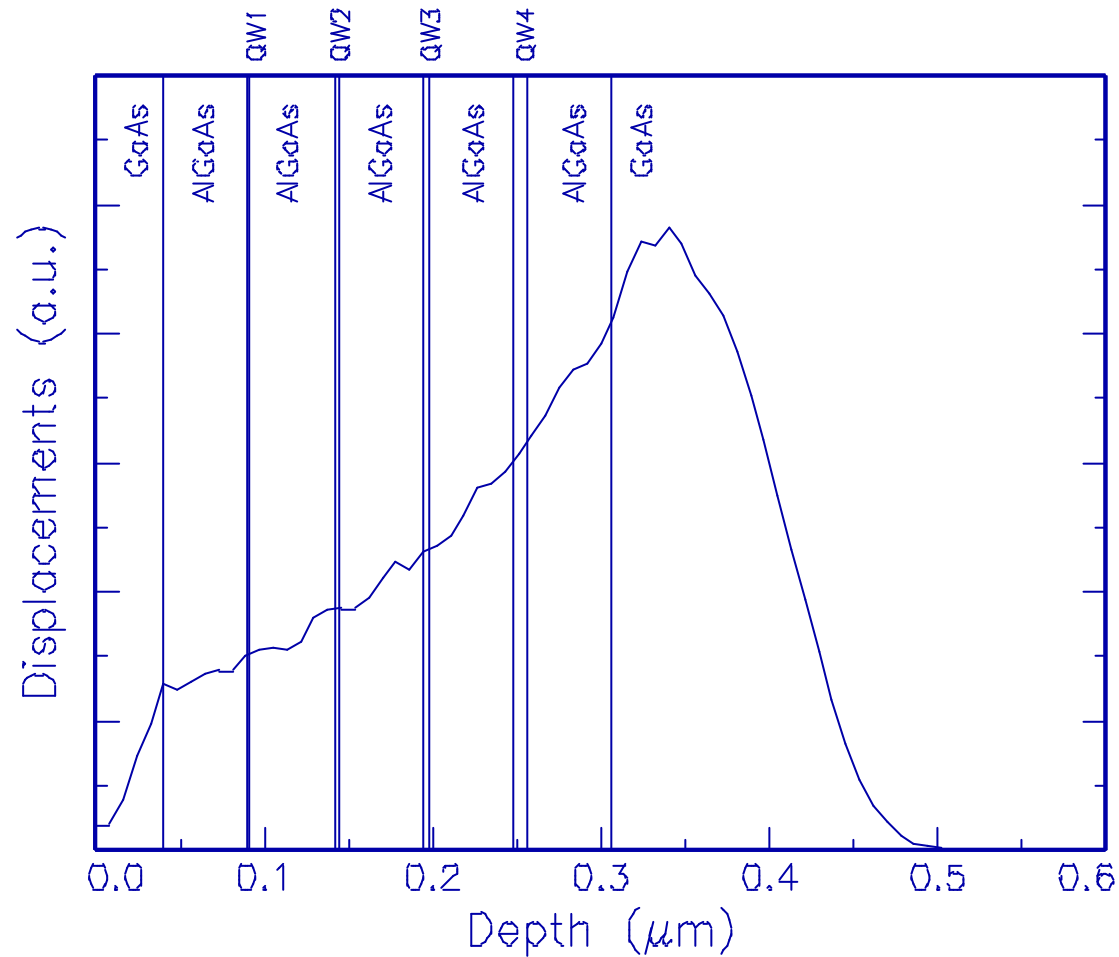
Intermixing



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Schematic of 4 QW structure (40 keV Proton Defect Profile)



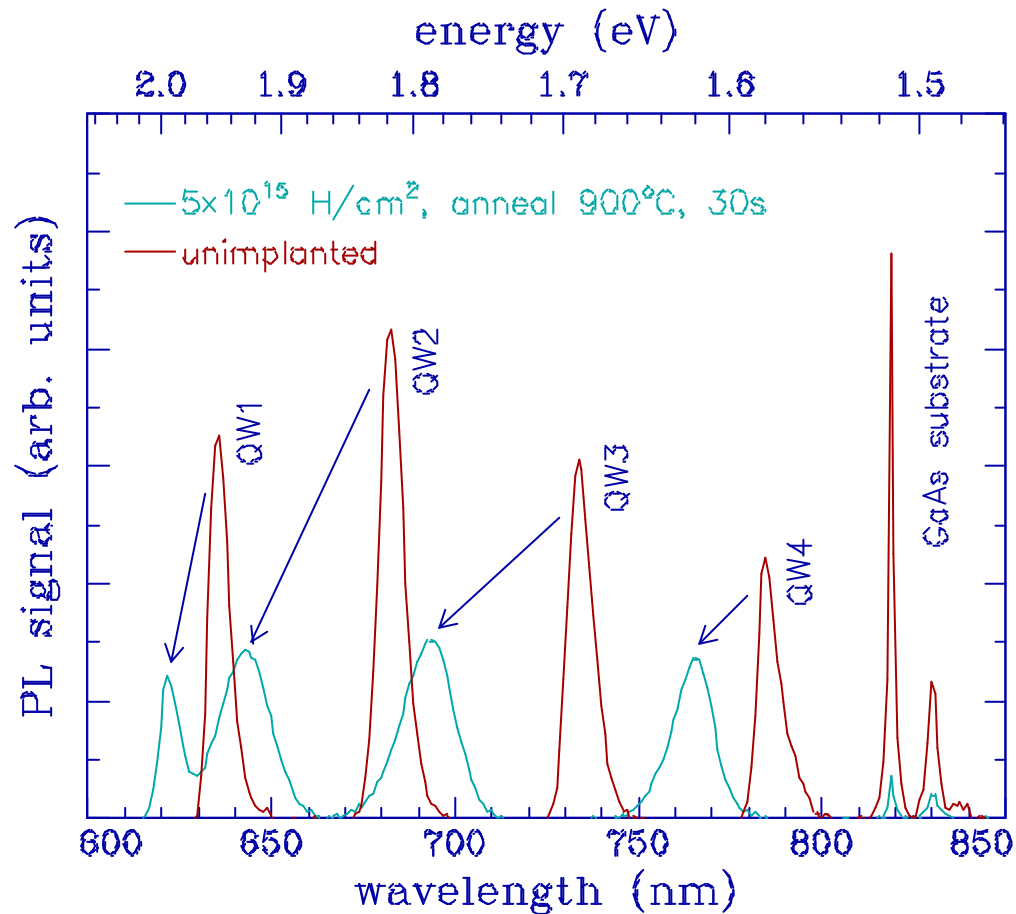
QW1 =1.4 nm
QW2=2.3 nm
QW3=4.0 nm
QW4=8.5 nm



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10K Photoluminescence Spectra



**H.H. Tan et.al.,
Appl. Phys. Lett.
68, 2401 (1996).**

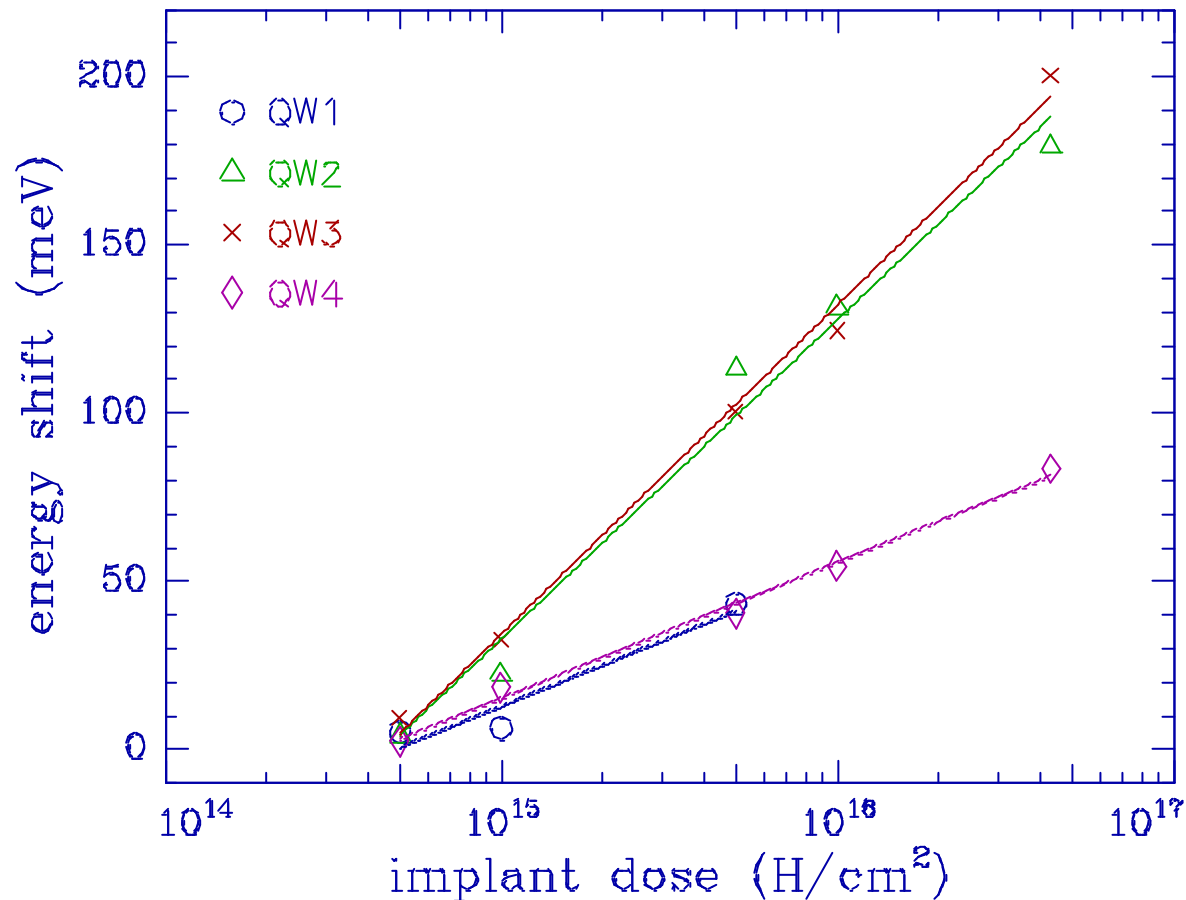


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Energy Shifts vs. Proton Dose

900°C, 30 sec



QW1 =1.4 nm
QW2=2.3 nm
QW3=4.0 nm
QW4=8.5 nm

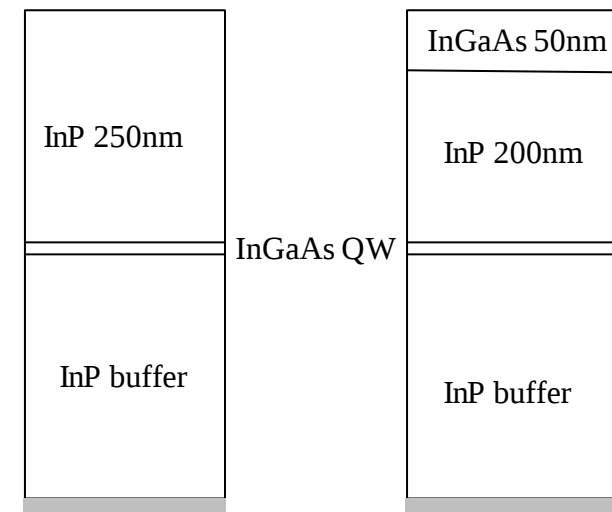
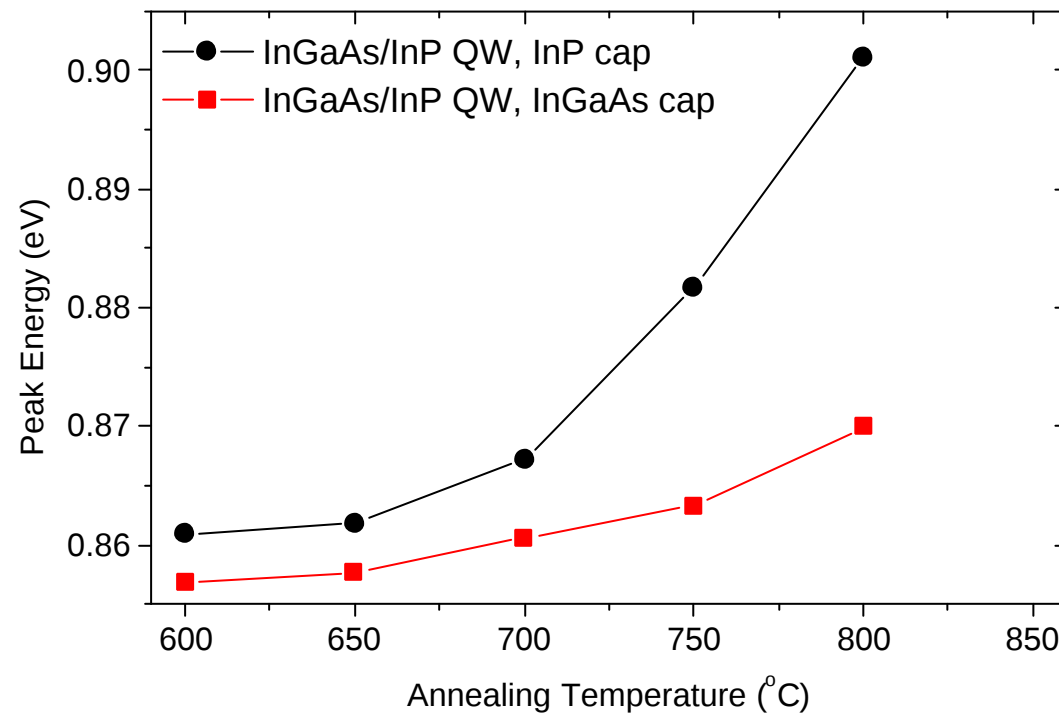
H.H. Tan et.al.,
Appl. Phys. Lett.
68, 2401 (1996).



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Thermal Stability of InP/InGaAs QWs with InP and InGaAs

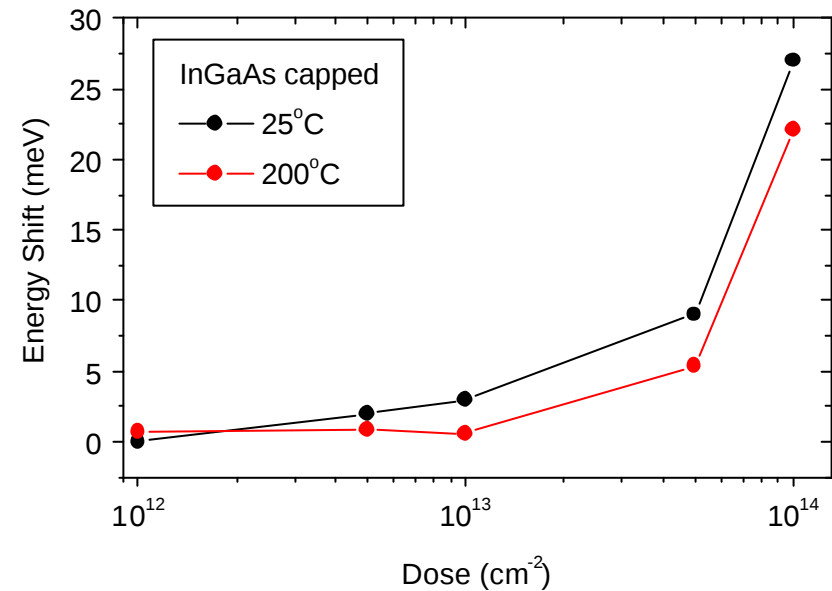
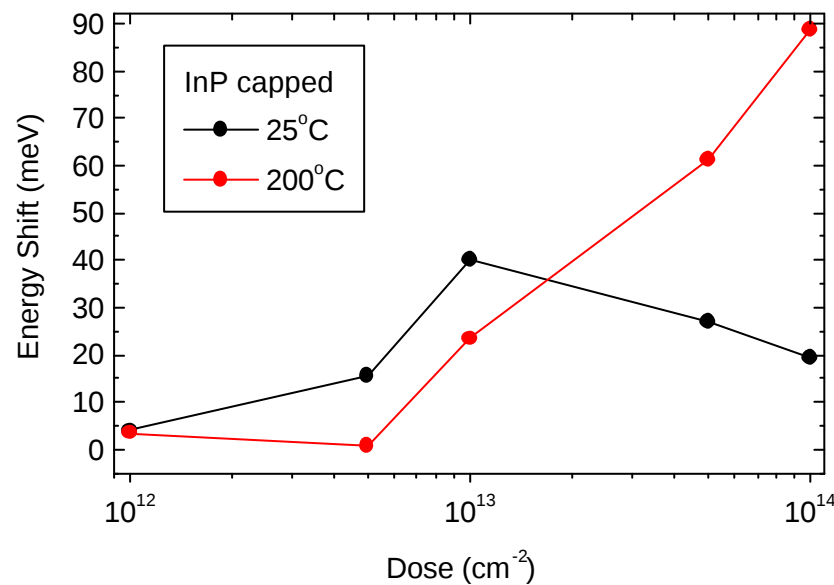


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Implantation Dose (20 keV P) and Temperature Dependence of Energy Shifts in InP/InGaAs QWs

700°C, 60 sec



C. Carmody, J. Appl. Phys. 93, 4468 (2003)

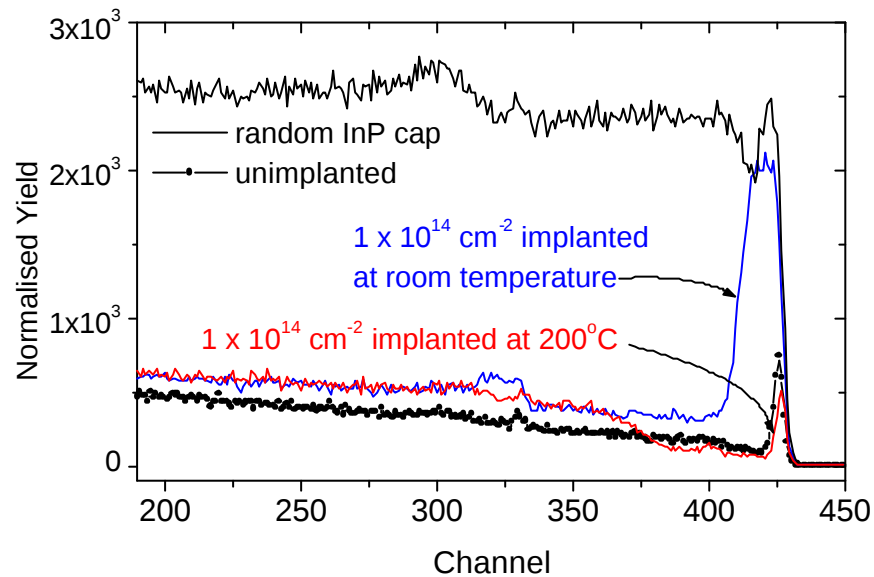


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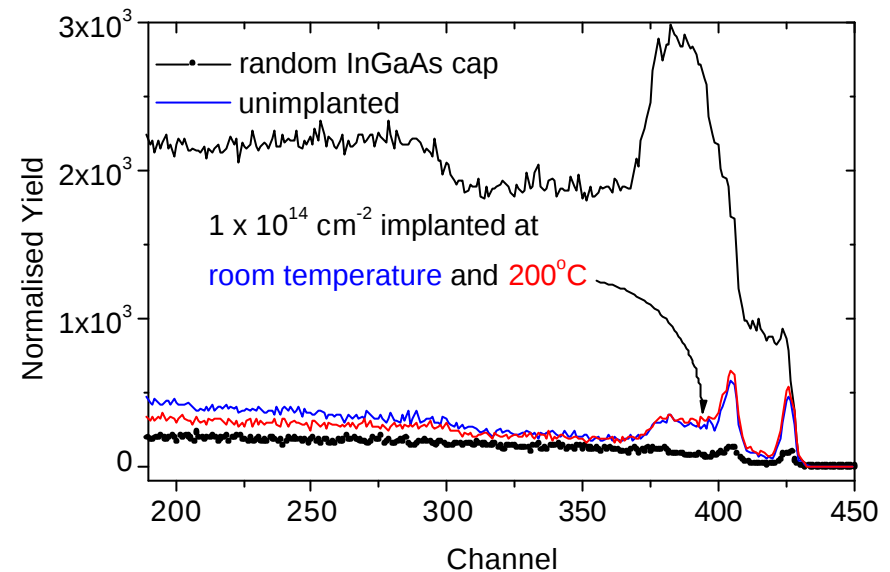


Damage Accumulation in InP and InGaAs

InP Cap



InGaAs Cap



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Tuning the Emission Wavelength of GRINSCH Quantum Well Lasers

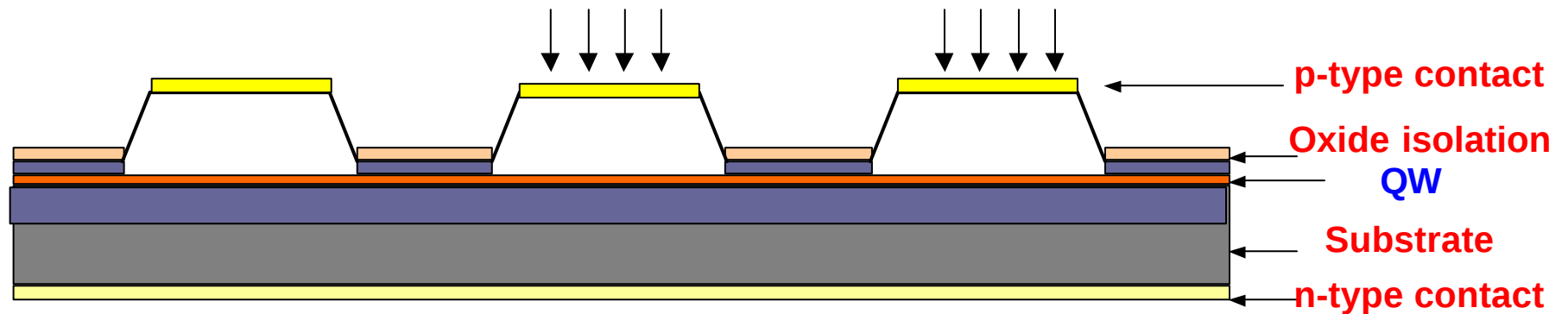
GaAs/AlGaAs QW Lasers



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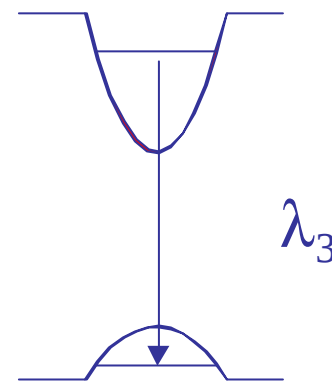
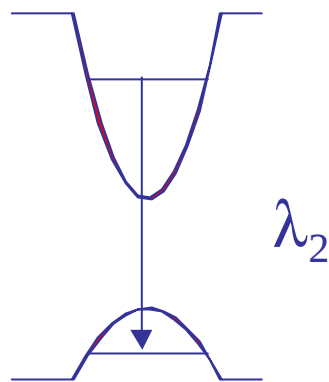
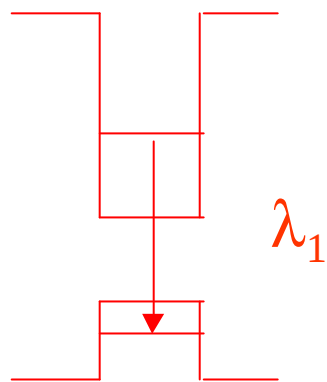
Tuning the wavelength of QW lasers



un-implanted

dose A

dose B



dose A < dose B

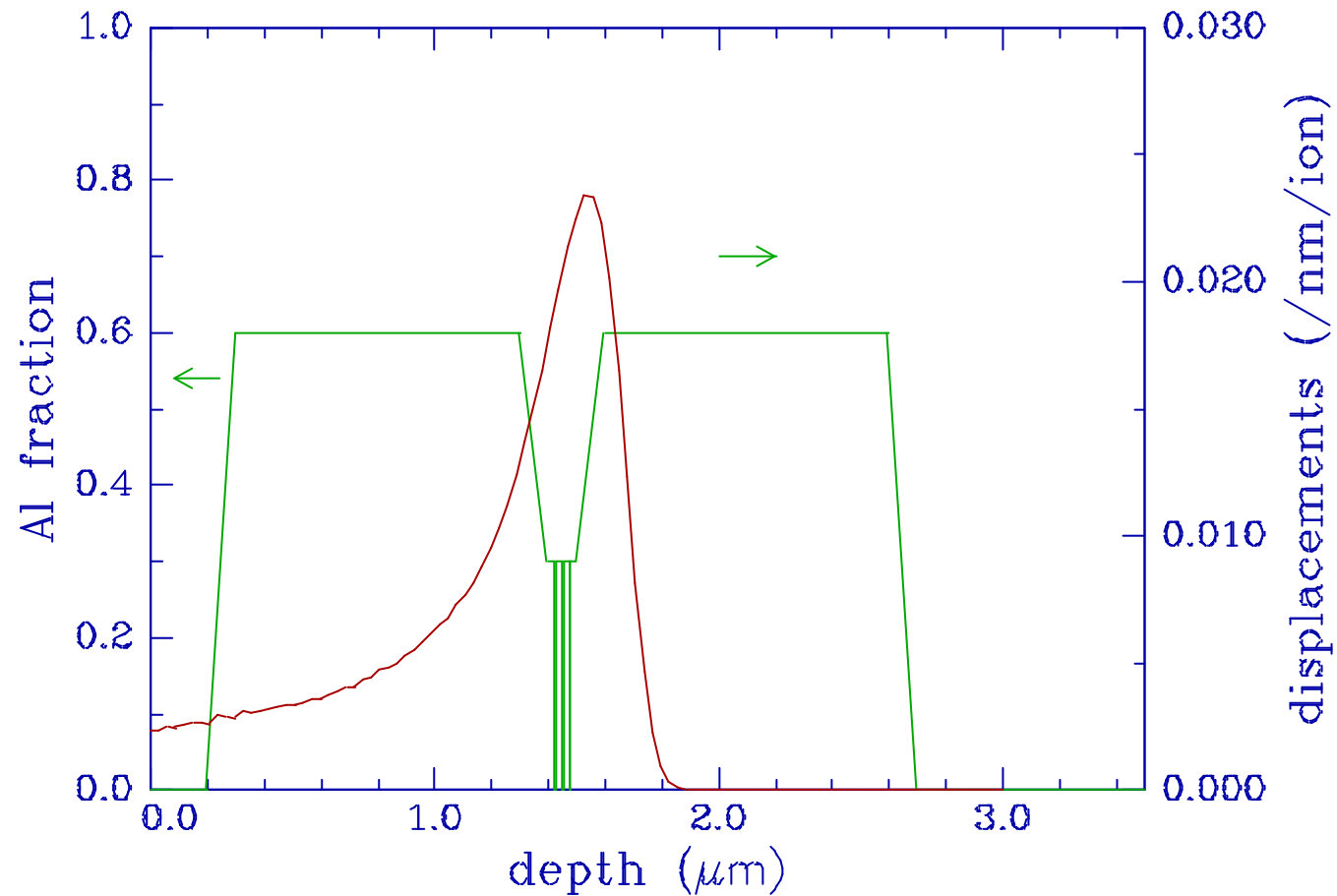
$\lambda_1 > \lambda_2 > \lambda_3$



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GRINSCH QW Laser and 220 keV Proton Defect Profile

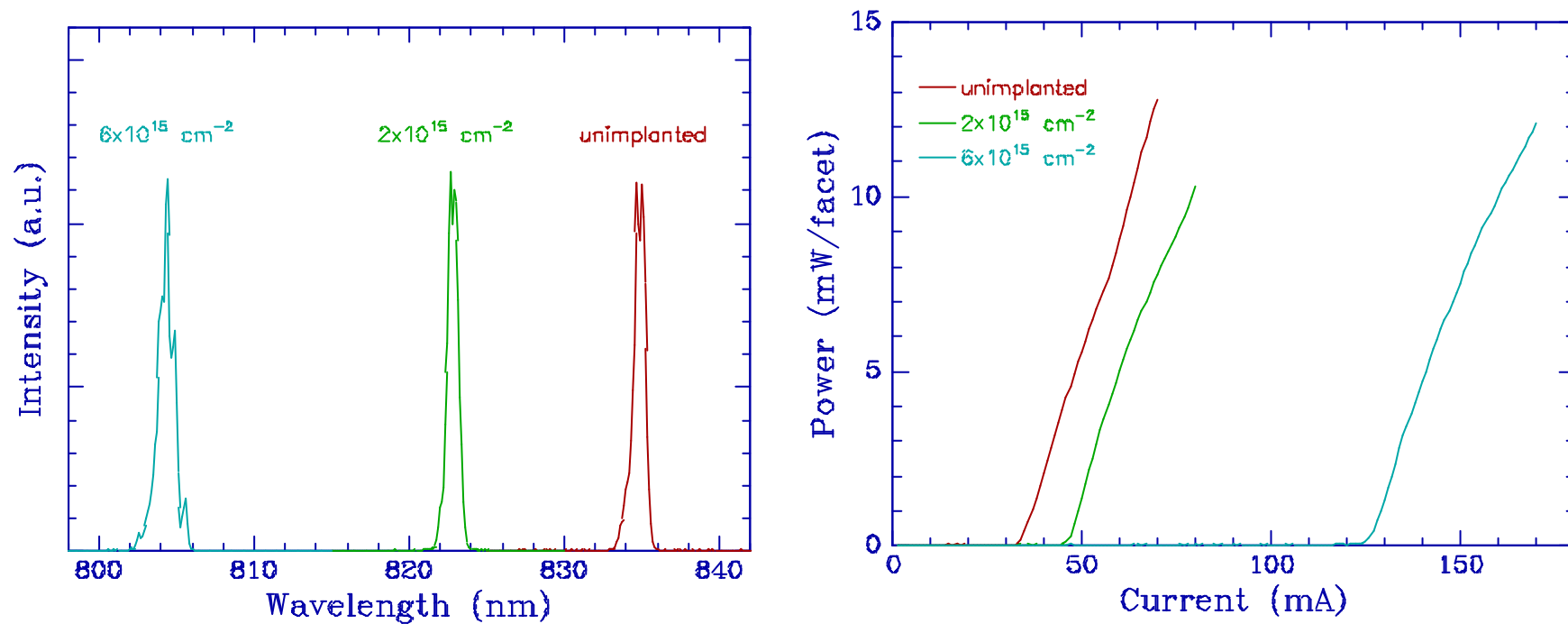


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Lasing Spectra and L-I Characteristics of GaAs/AlGaAs QW Lasers

(900°C, 60 sec)



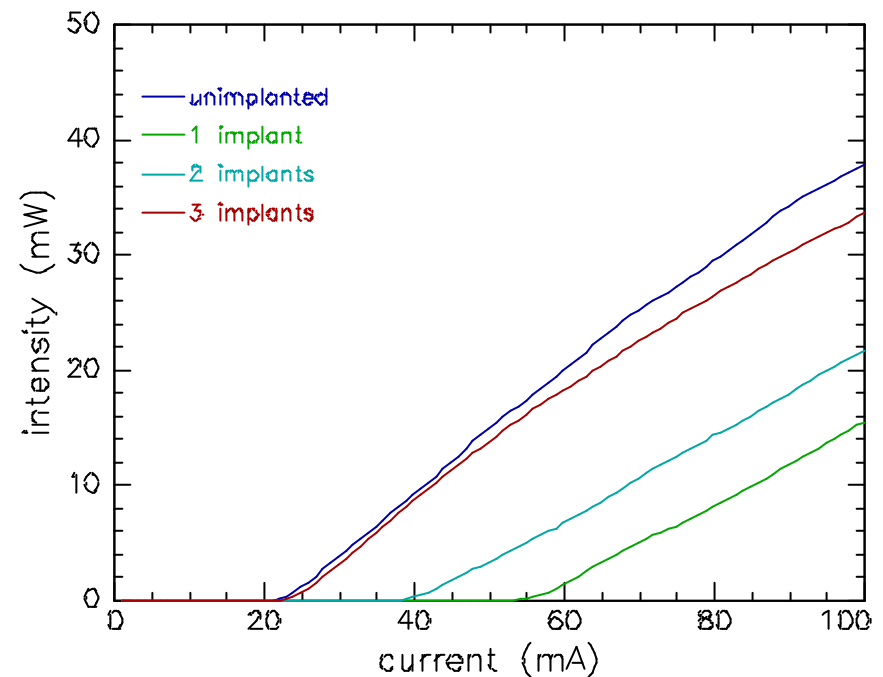
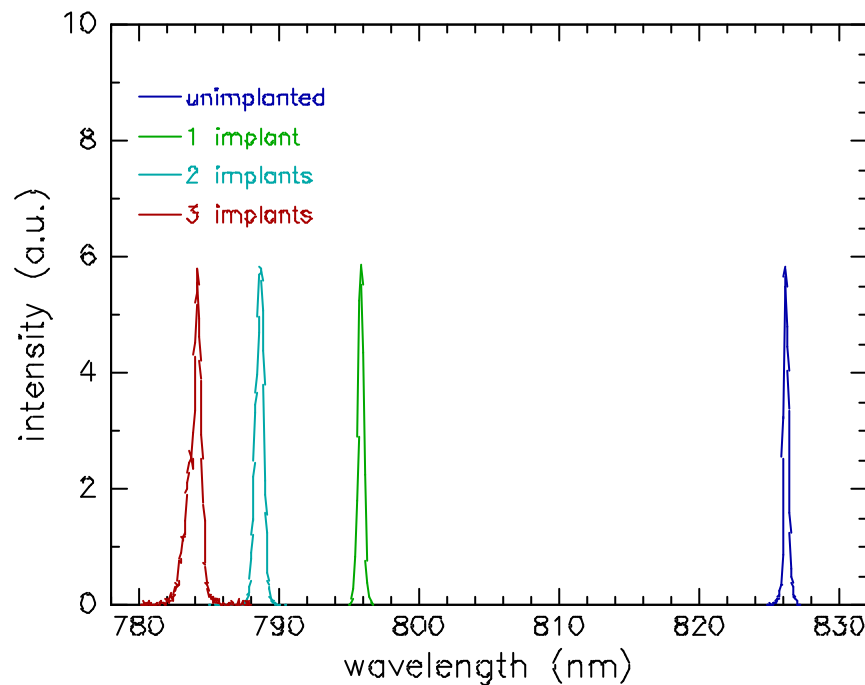
H.H. Tan and C. Jagadish, Appl. Phys. Lett. 71, 2680 (1997).



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Multi-Step Implantation Scheme for Improved GaAs/AlGaAs QW Laser Performance



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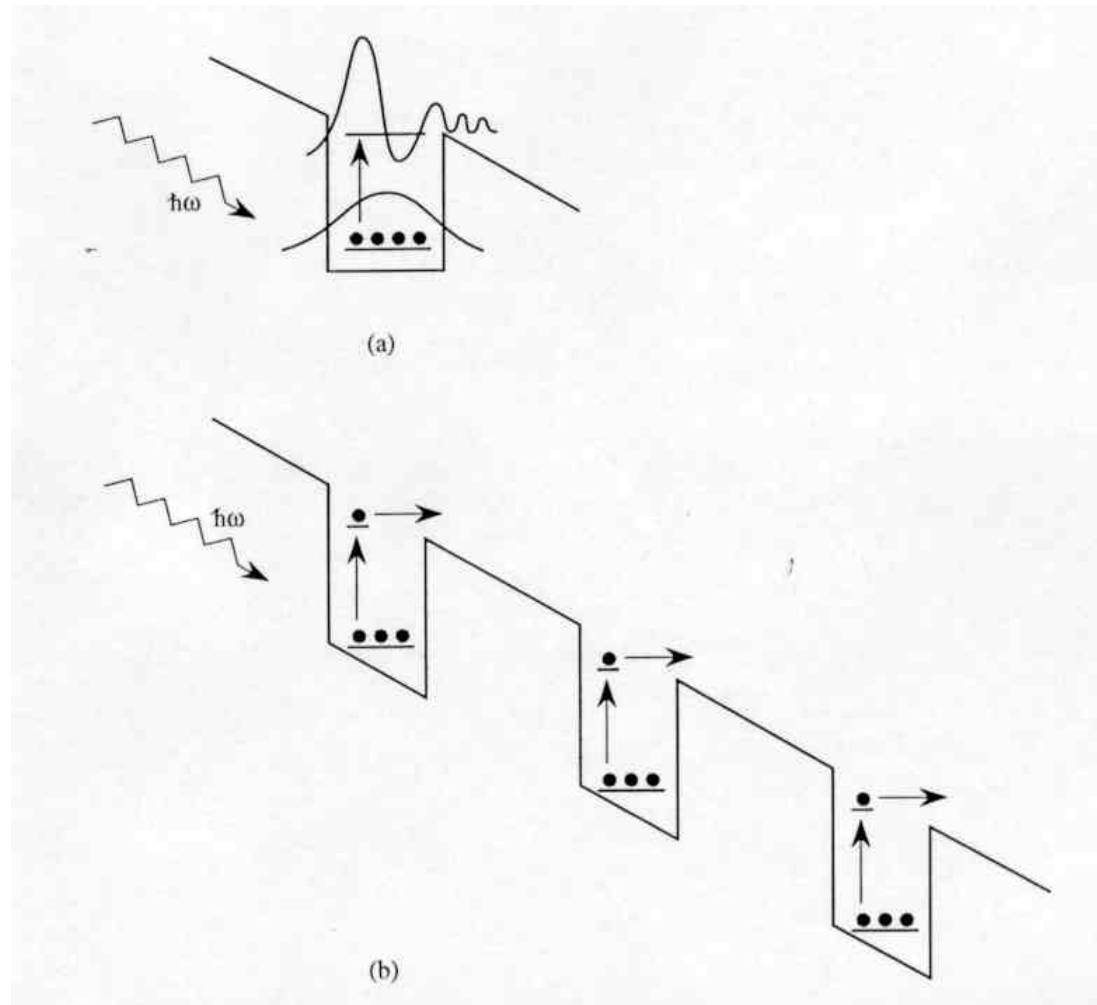
Tuning the Detection Wavelength of Quantum Well Infrared Photodetectors (QWIPs)



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Quantum Well Infrared Photodetectors

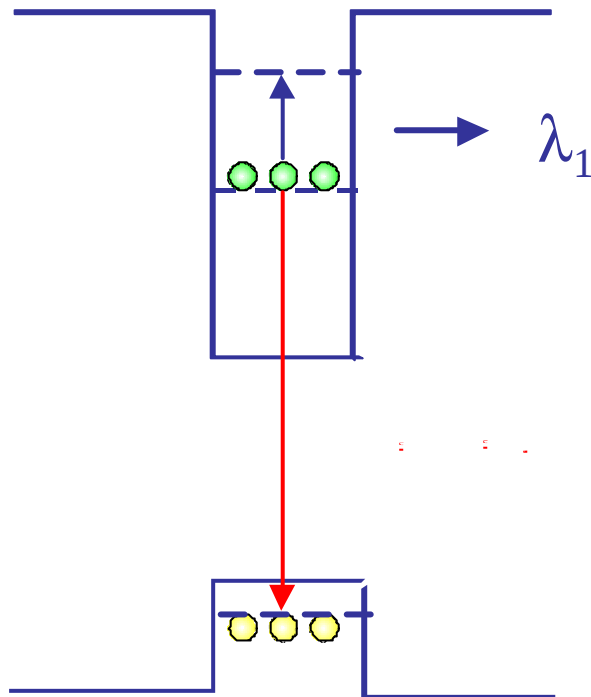


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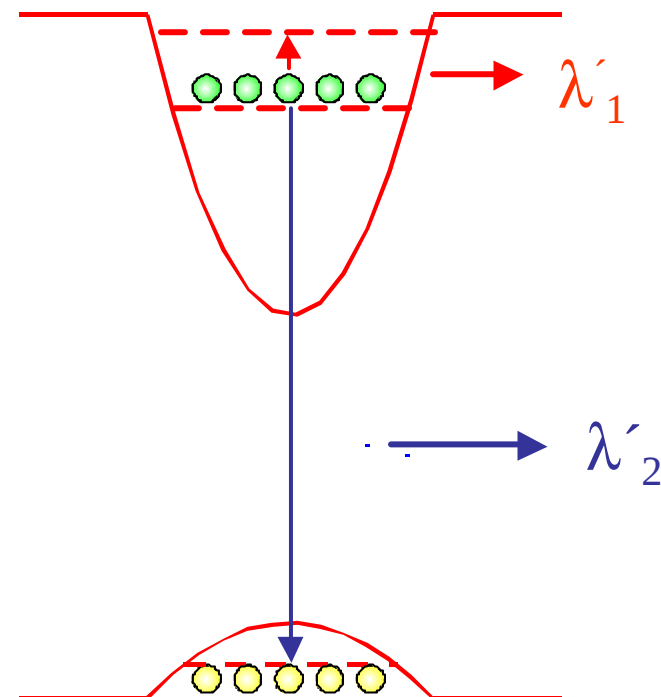


Quantum well intermixing

before



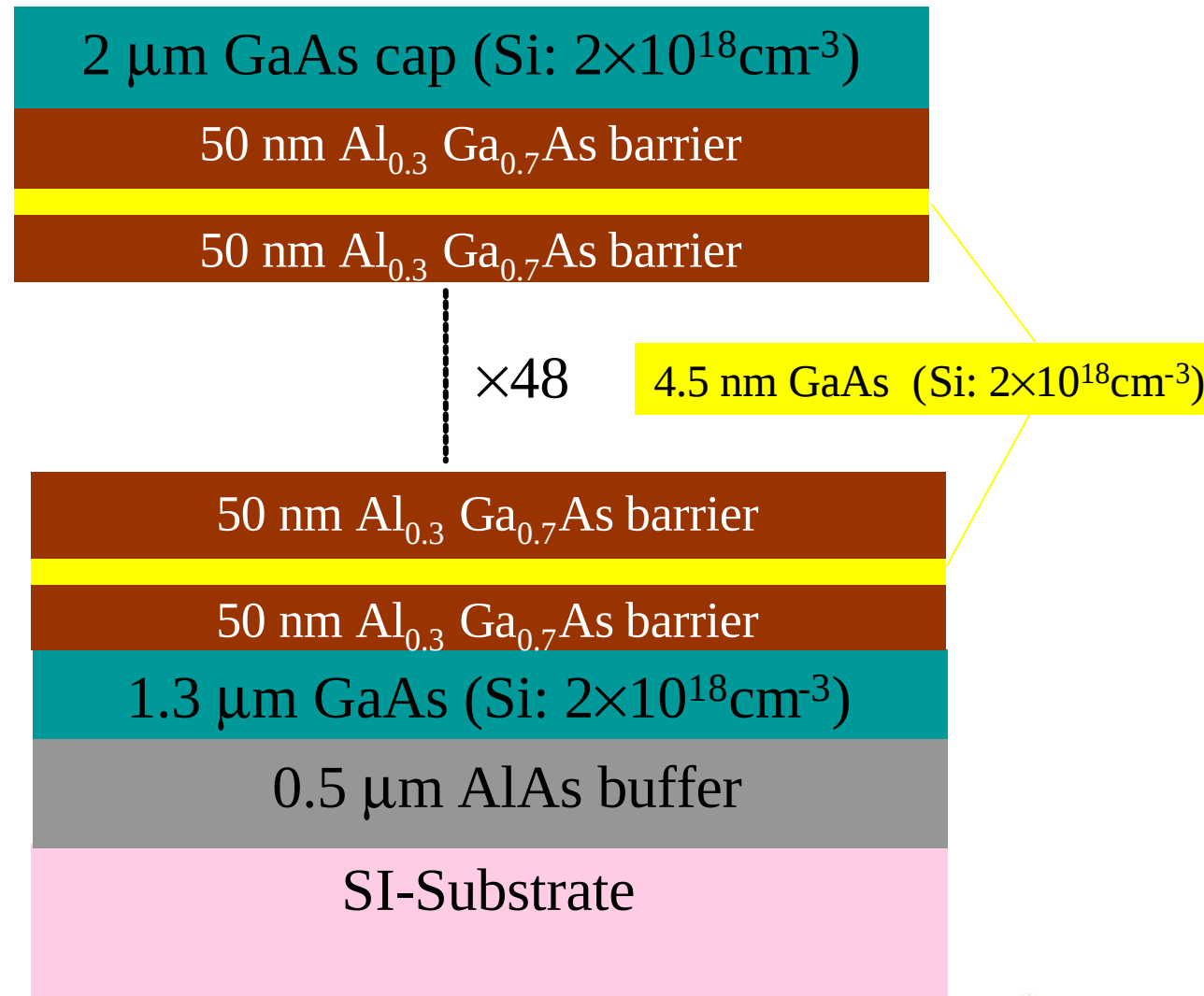
after



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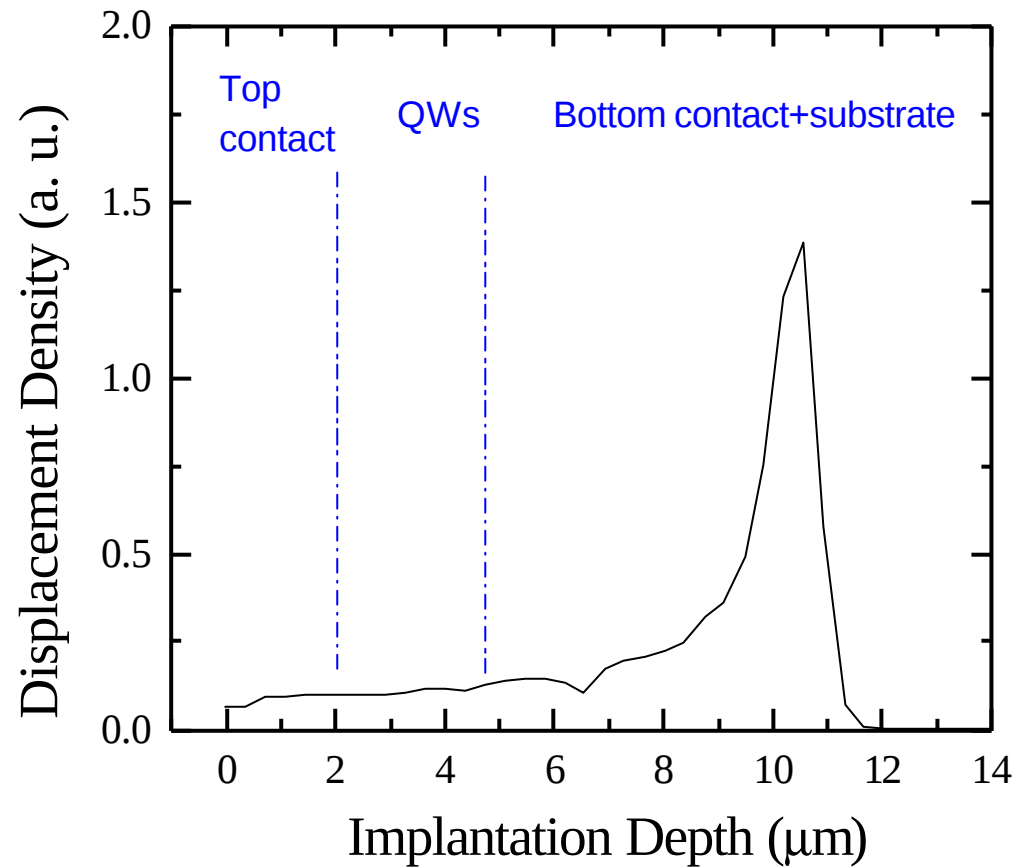
QWIP structure Grown by MBE



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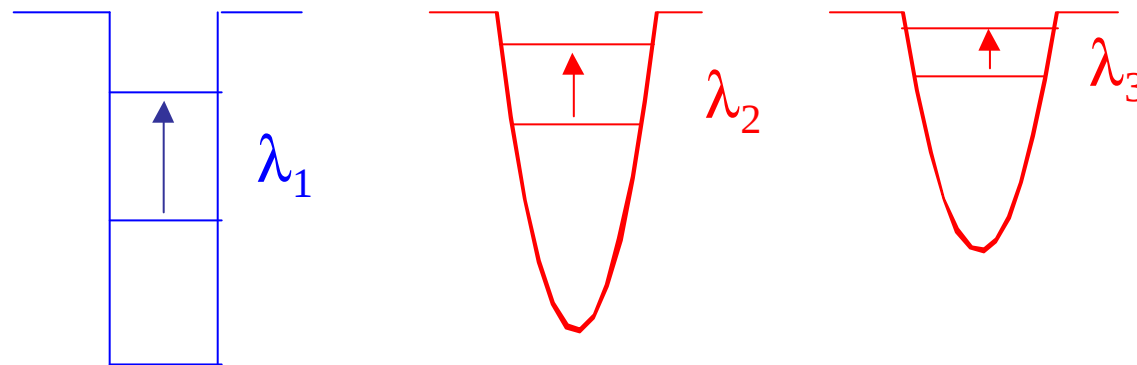
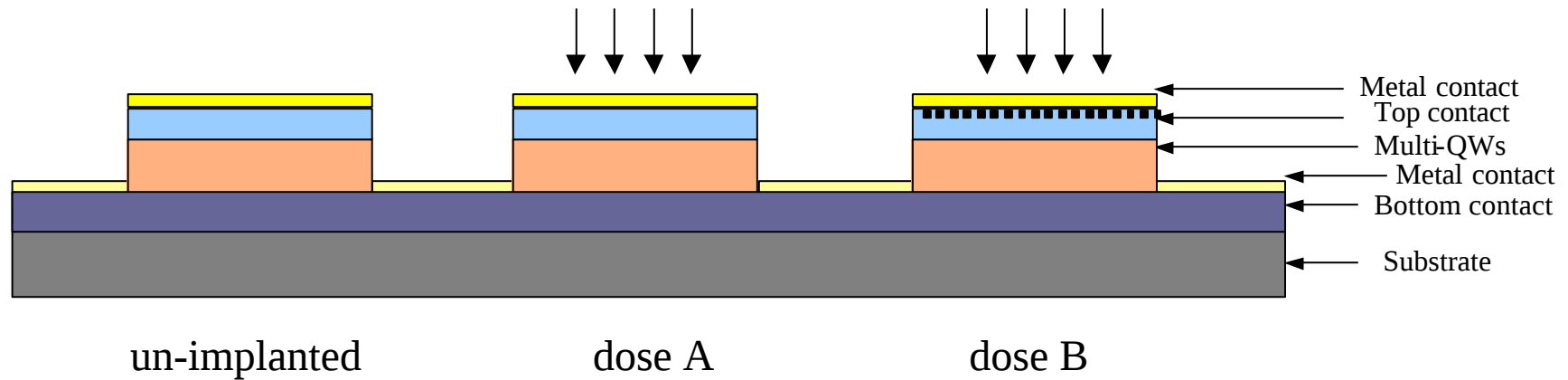
Defect distribution profile of 0.9 MeV Protons



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Tuning the wavelength of QWIP



dose A < dose B

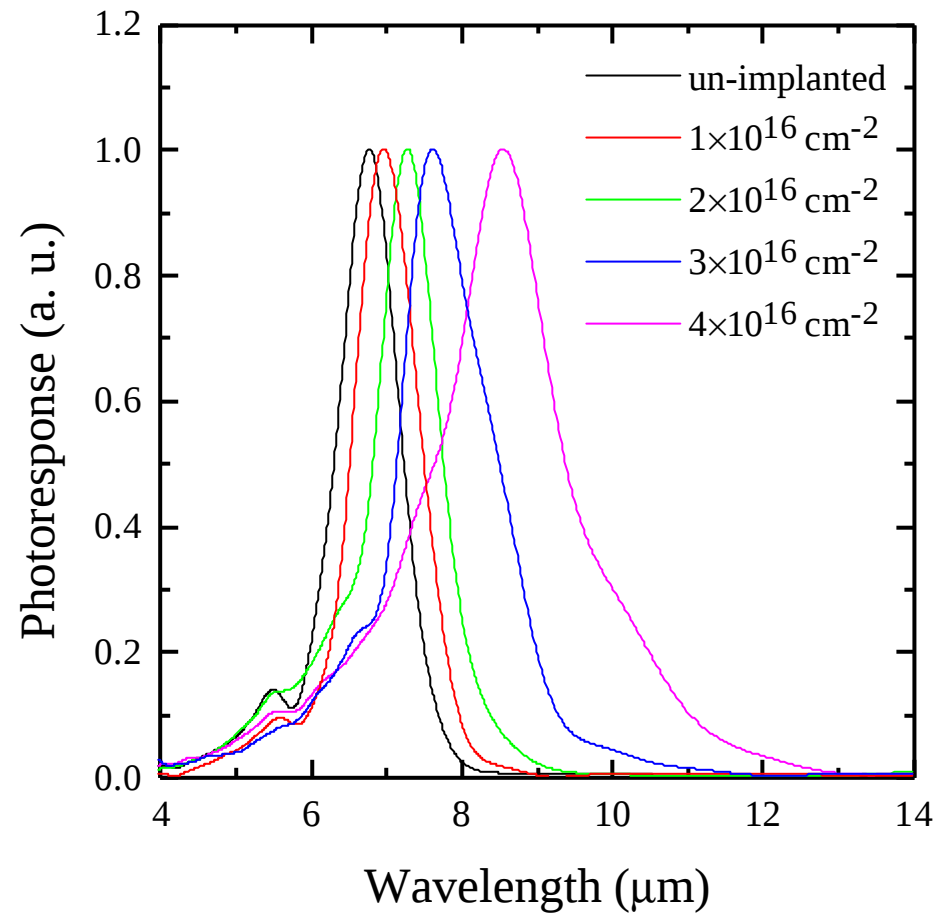
$$\lambda_1 < \lambda_2 < \lambda_3$$



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QWIP spectral response



950°C, 30 sec

**M.B. Johnston et.al,
Appl. Phys. Lett.
75, 923 (1999).**

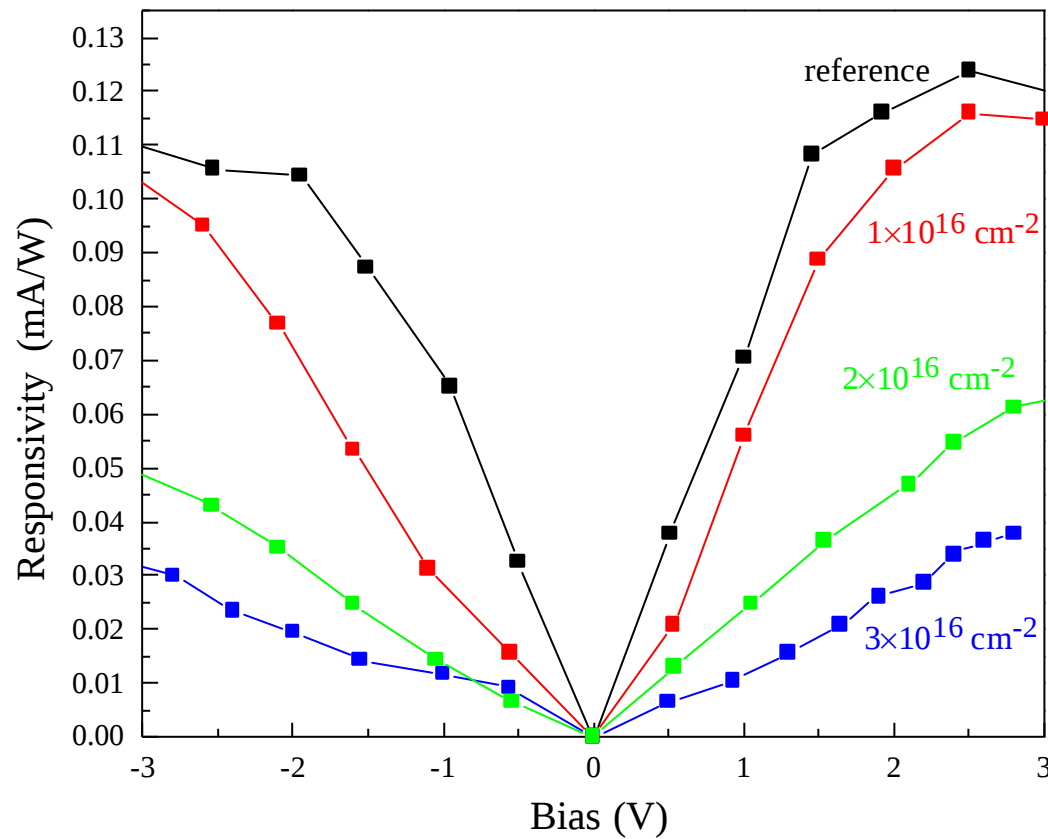
***L. Fu et al,
Appl. Phys. Lett.
78, 10 (2001).***



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Responsivity



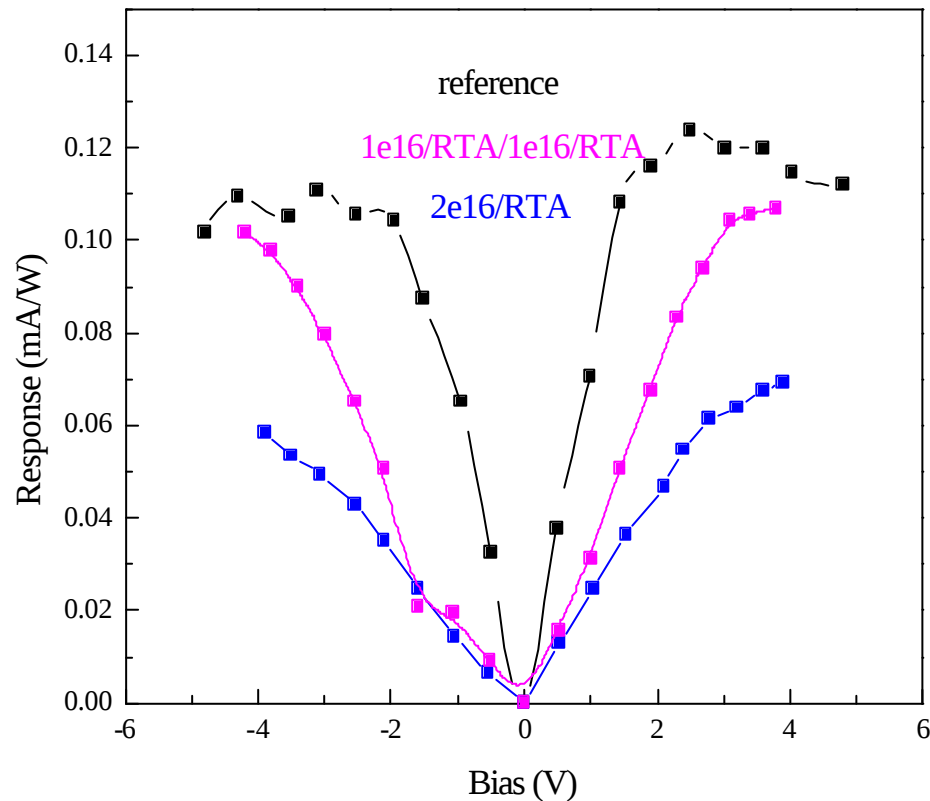
L. Fu et al, Appl. Phys. Lett. 78, 10 (2001).



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Responsivity



One-step implant-anneal sequence:

**0.9 MeV $2 \times 10^{16} \text{ cm}^{-2}$ /
950°C 30 s**

Two-step implant-anneal sequence:

**0.9 MeV $1 \times 10^{16} \text{ cm}^{-2}$ /
950°C 30 s / 0.9 MeV
 $1 \times 10^{16} \text{ cm}^{-2}$ / 950°C
30 s**

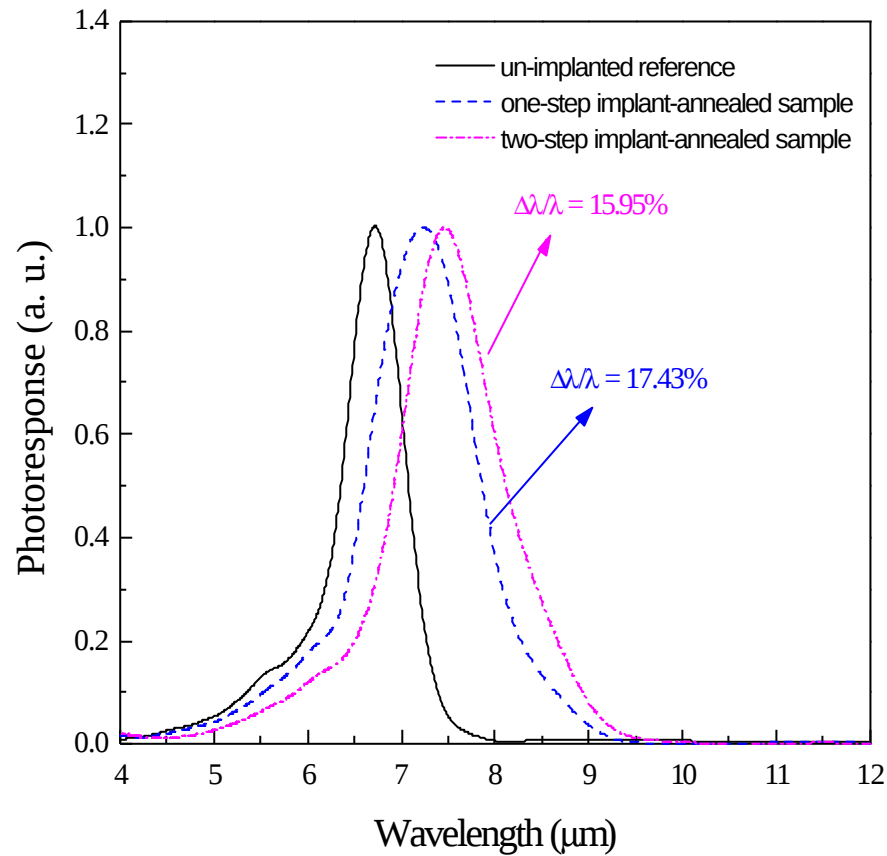
L. Fu et al, Infrared Phys. & Technol. 42, 171 (2001).



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Relative spectral response



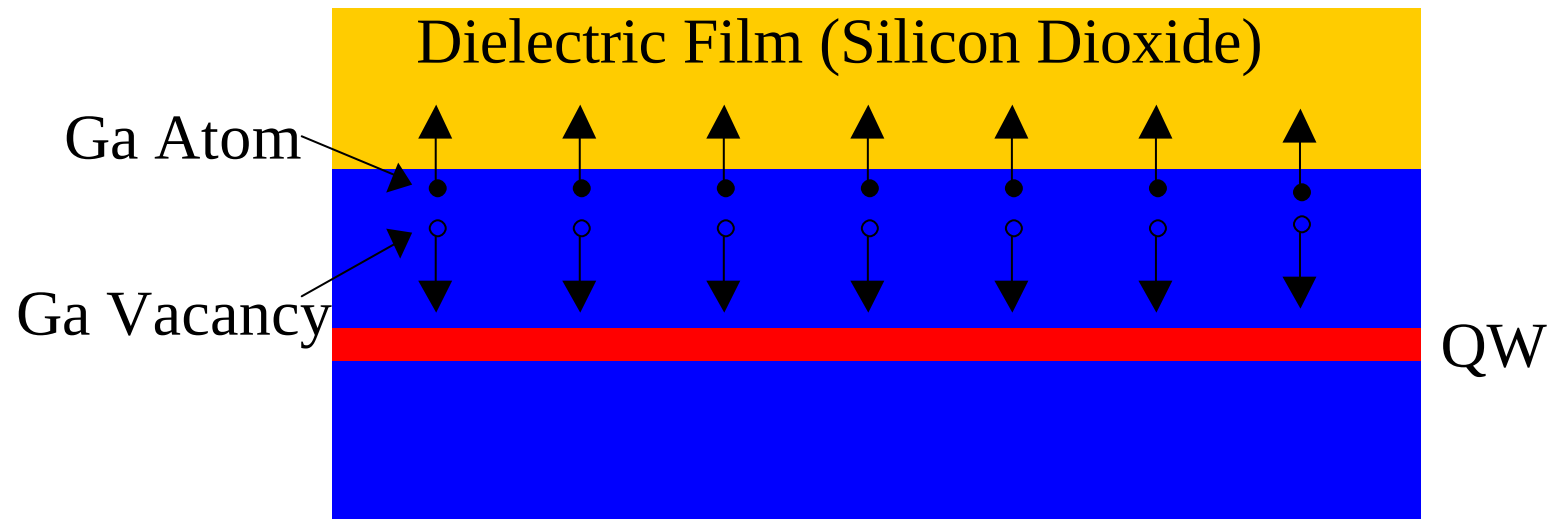
***L. Fu et al, Infrared
Phys. & Technol.
42, 171 (2001).***



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Impurity Free Vacancy Disordering



Silicon dioxide acts as a sink for Ga out-diffusion

(i) Creation of Ga vacancies, (ii) Diffusion of Ga Vacancies



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Why Impurity Free Vacancy Disordering?

Maintains Good Crystal Quality

Low Concentration of Residual Defects

Low Concentration of Electrically Active Defects

Relatively Simple Technique and No Residual Impurities in the Active Regions



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Experimental conditions

- Spin-on glass (un-doped and Ga-doped): 3000 rpm for 30 s, baking at 400°C for 15 min
- SiO_2 : Plasma enhanced chemical vapour deposition (PECVD)
- TiO_2 : E-beam evaporation
- RTA: 700 °C to 900°C for 30 s
- Low temperature photoluminescence

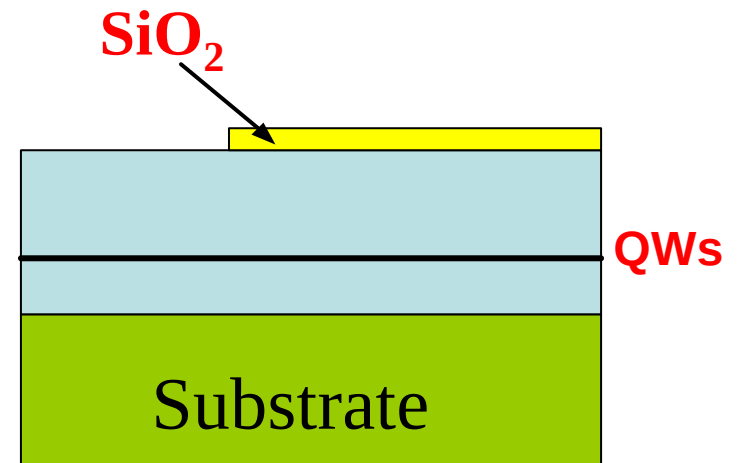
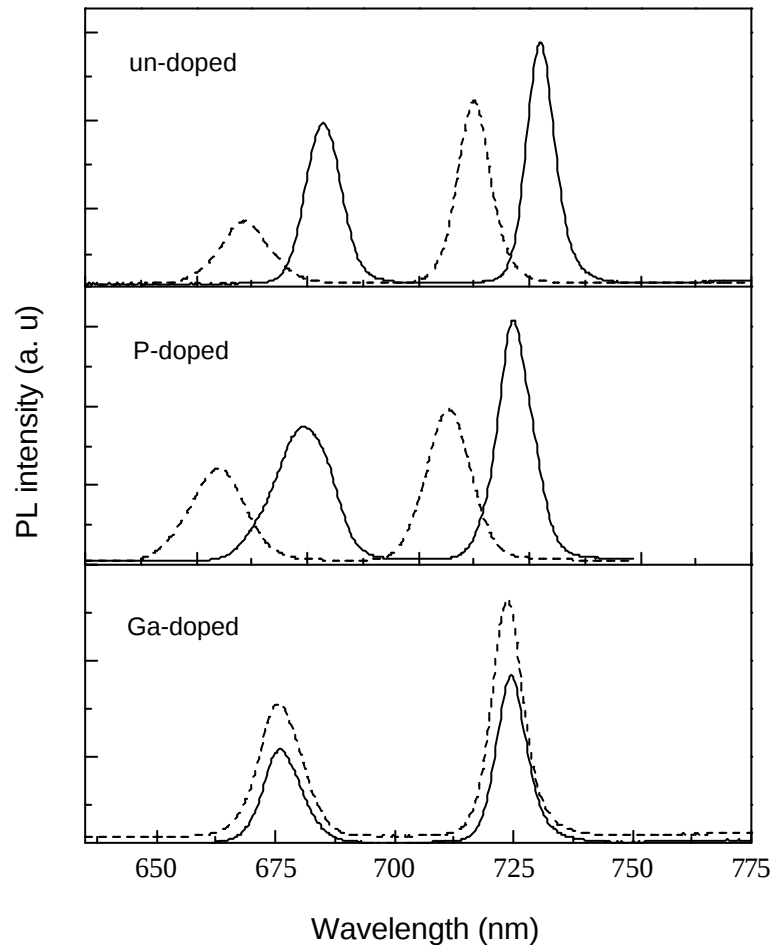


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IFVD using doped spin-on layers

GaAs/AlGaAs 2 QW structure



L. Fu et al., Appl. Phys. Lett.
7, 1171 (2002).

L. Fu et. Al., Appl. Phys. Lett.
76, 837-839 (2000).

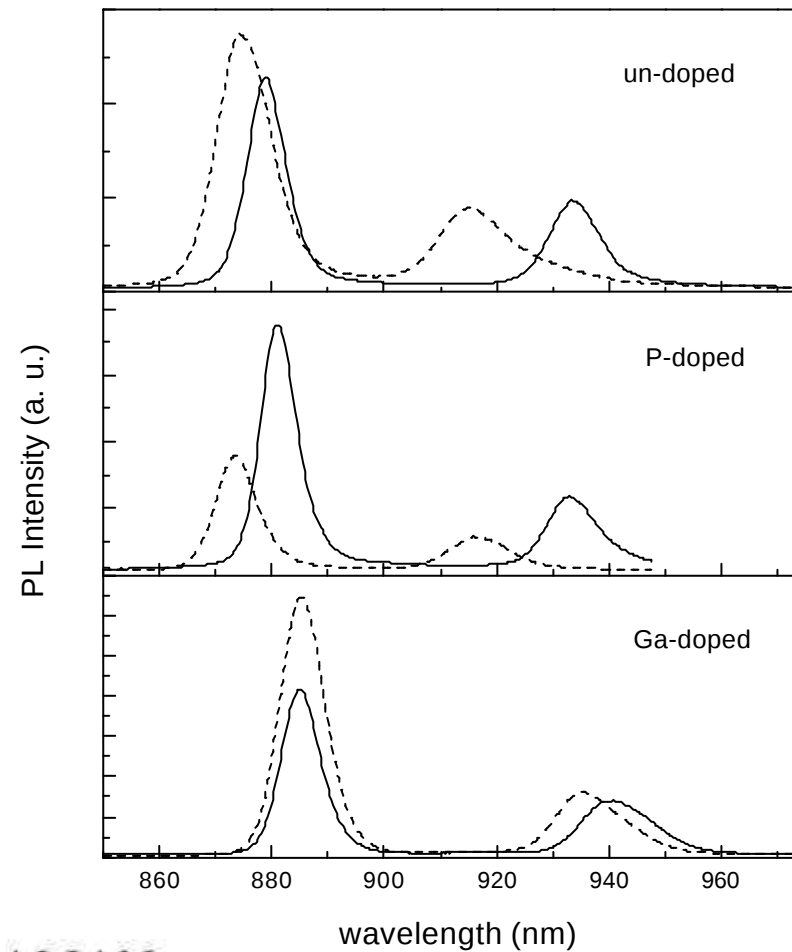


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IFVD using doped spin-on layers

InGaAs/AlGaAs structure



L. Fu et. al.,
J. Appl. Phys.
92, 3579 (2002)



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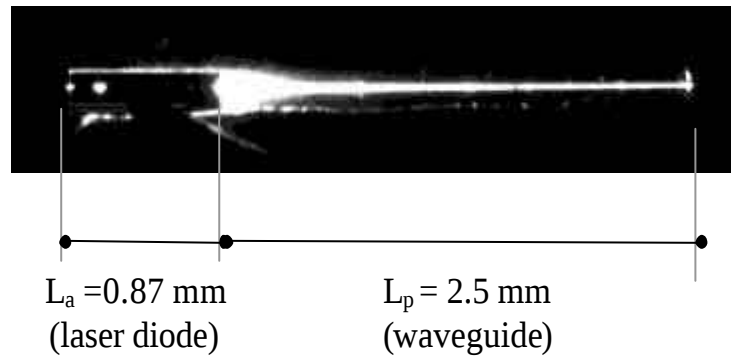
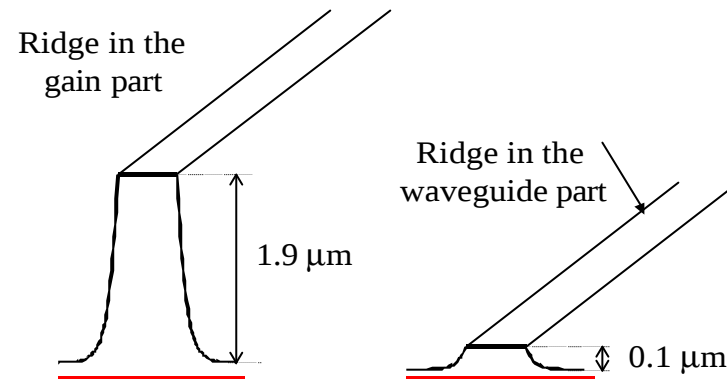
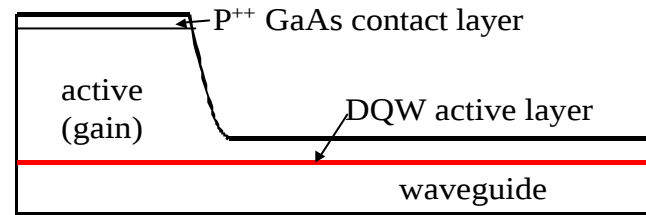
Integration of a Waveguide and a Laser Diode Using IFVD



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Lateral waveguiding



**M. Buda et.al.,
J. Electrochem. Soc.
150, G481 (2003).**



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Quantum Dot Photonic Integrated Circuits



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Why Quantum Dots?

Three Dimensional carrier confinement Leads to Atom Like Density of States

Low Threshold Current Lasers

High Quantum Efficiency

High Thermal Stability (To) Lasers

Lasers operating at 1.3 & 1.55 μm on GaAs (VCSELs)

Normal Incidence Operation of QDIPs

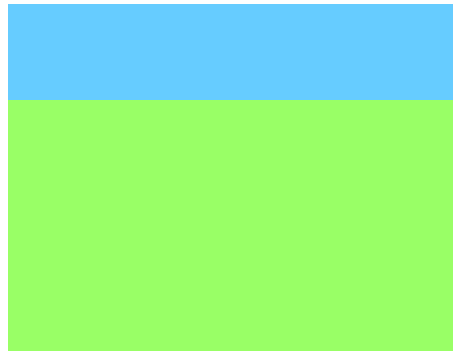


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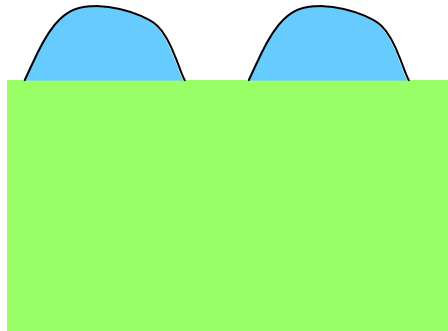
Self Assembled Growth of Quantum Dots

Frank- van der Merwe Growth Mode



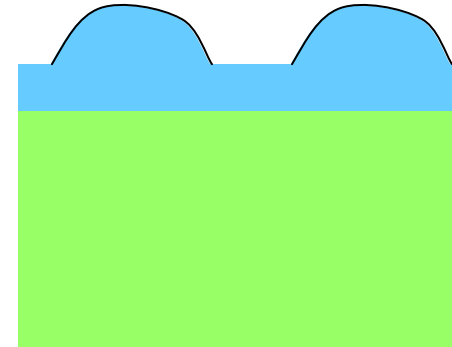
Layer by Layer Growth
Lattice matched Systems, e.g. AlGaAs on GaAs

Volmer-Weber Growth Mode



Direct Island Growth
Large lattice mismatch
Very High Interfacial Energy, e.g. GaN on Sapphire

Stranski-Krastanow Growth Mode



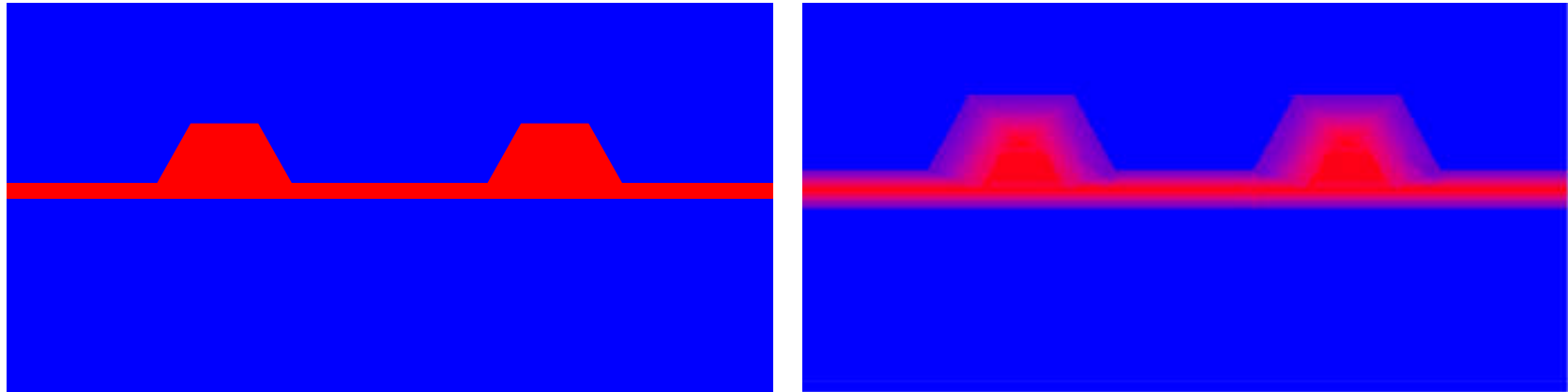
Layer by Layer followed by Island Nucleation
Dissimilar Lattice Spacing, Low Interfacial Energy
e.g. InAs on GaAs



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Quantum Dot Intermixing



- Large surface area to volume ratio
- Non-uniform composition profile
- Large strain field around the dots



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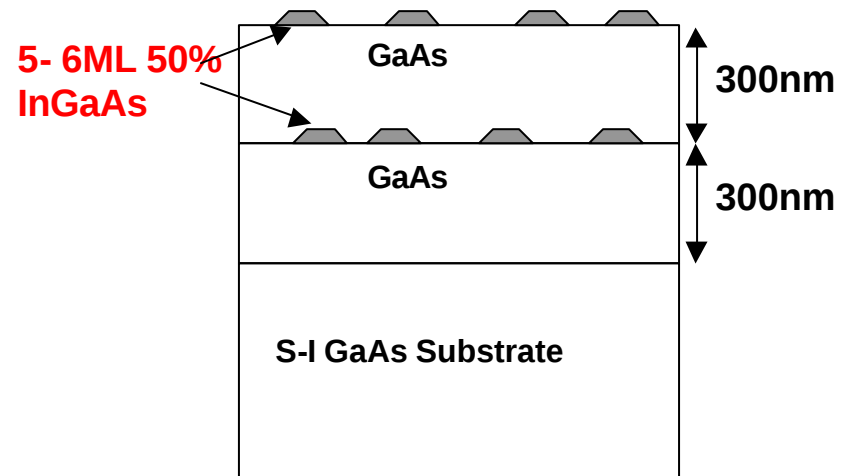


Growth details

- Aixtron 200/4 MOCVD reactor
 - rotation
 - IR lamps
- TMGa, TMIn, AsH₃ & PH₃
- Single layer In_{0.5}Ga_{0.5}As dots
- Dot growth ~500-550°C
- GaAs cap at 650°C

Characterization

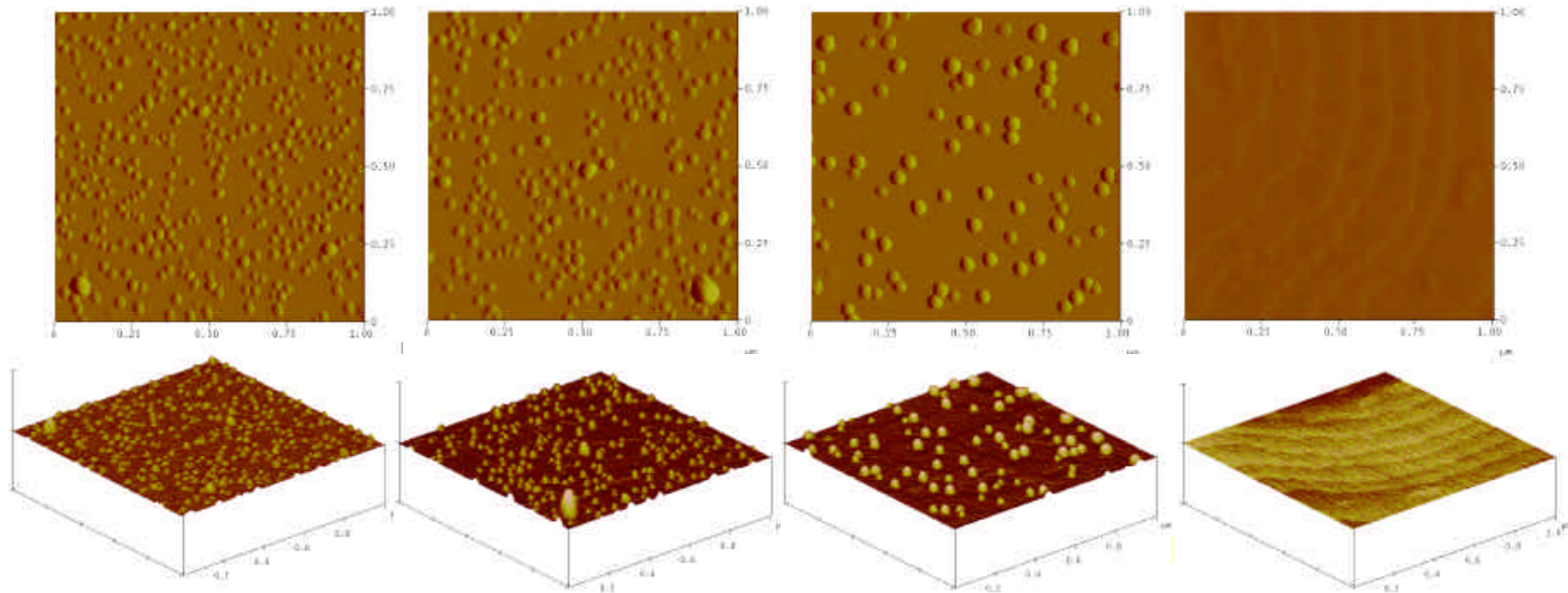
- AFM and PL



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Amount of Material



6.5ML

5.8ML

5ML

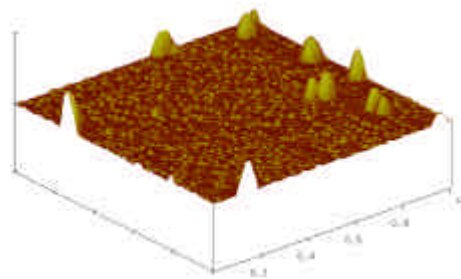
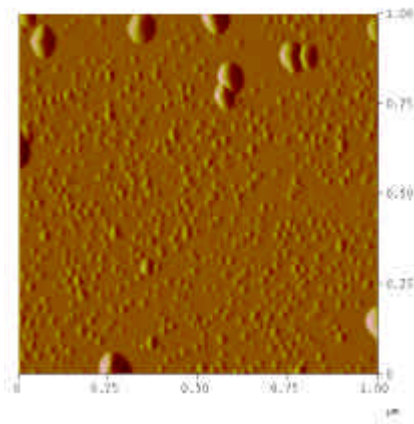
4ML

- Increase material:
 - Density increases until saturation
 - Size decreases

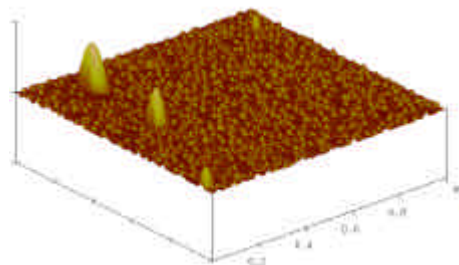
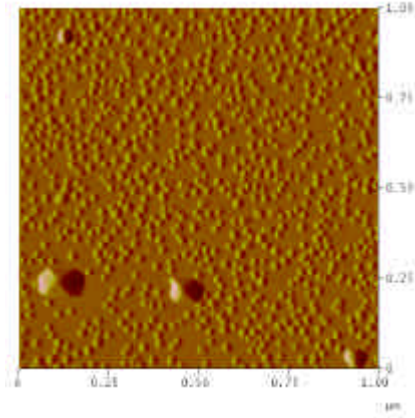


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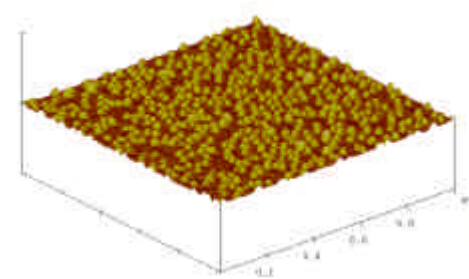
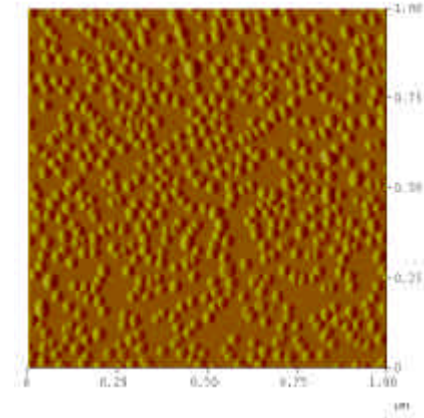
Temperature - AFM



500°C



520°C



550°C

Height increases with increasing temperature

Less incoherent dots with increasing temperature

Experimental Details

- Samples annealed for 30sec
 - Proximity capped RTA
 - Anneals at 700, 800, 850 and 900°C

Spin on Glass, PECVD SiO₂, E-Beam TiO₂

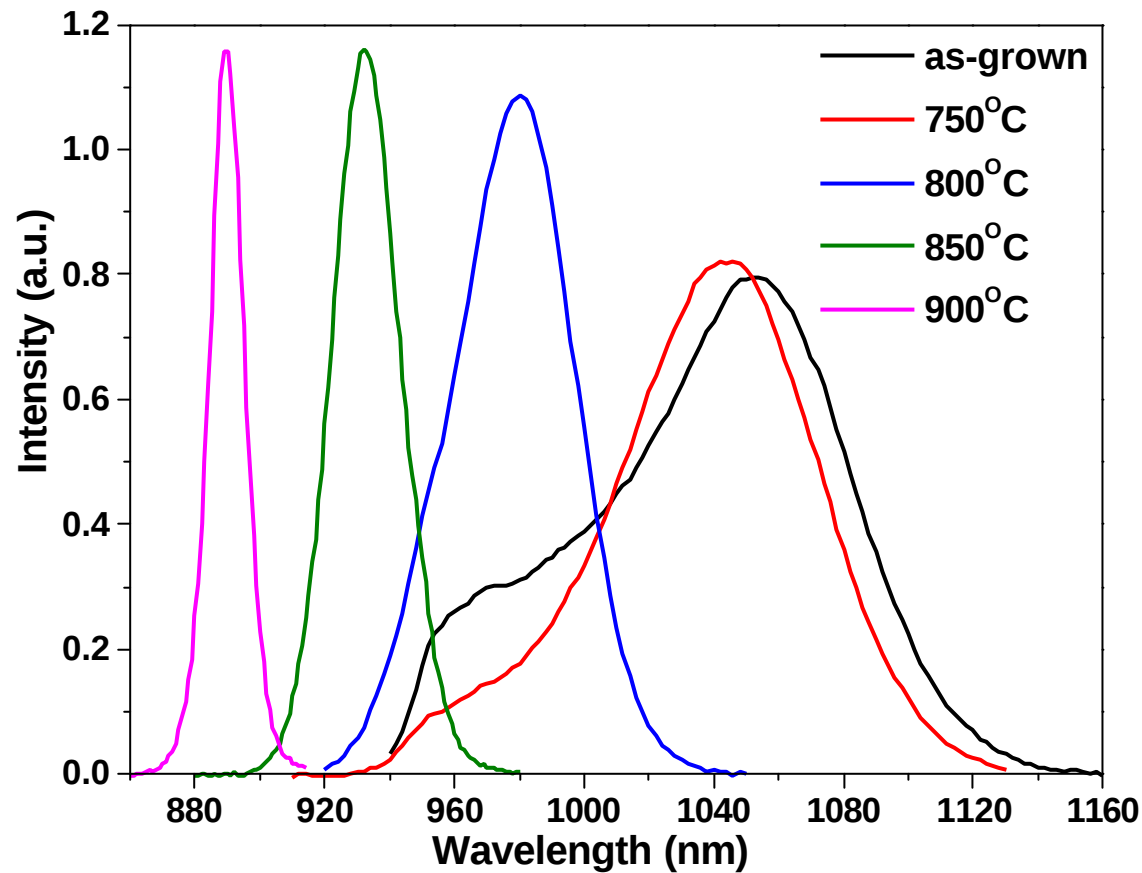
- Photoluminescence
 - 10K
 - Cooled Ge detector
 - Argon-ion laser at 514.5nm



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Thermal stability of single layer QDs



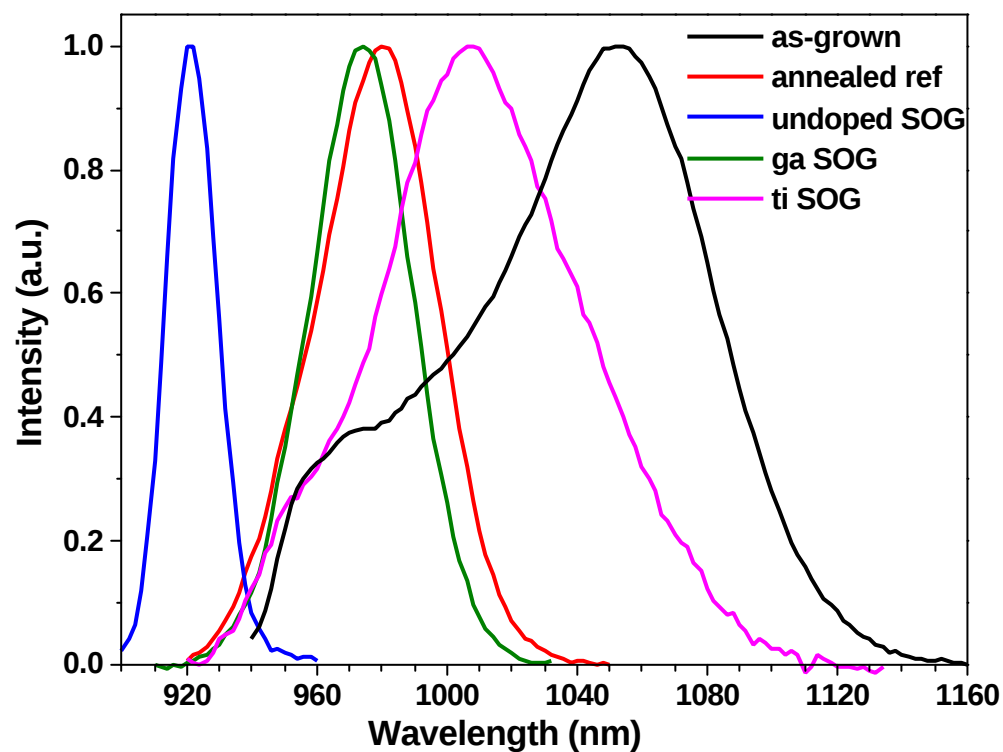
12K Photoluminescence Spectra



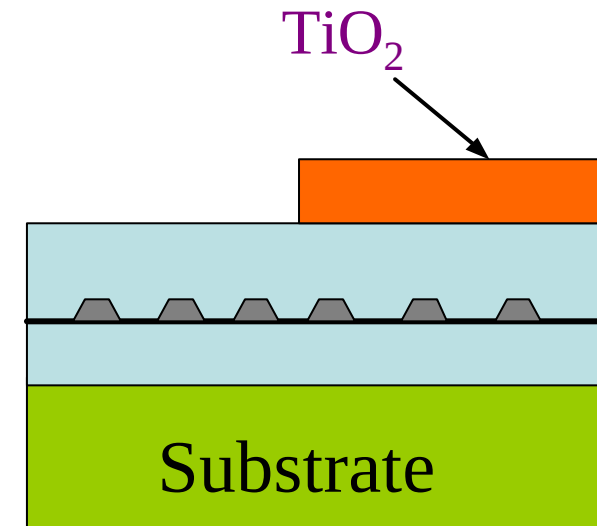
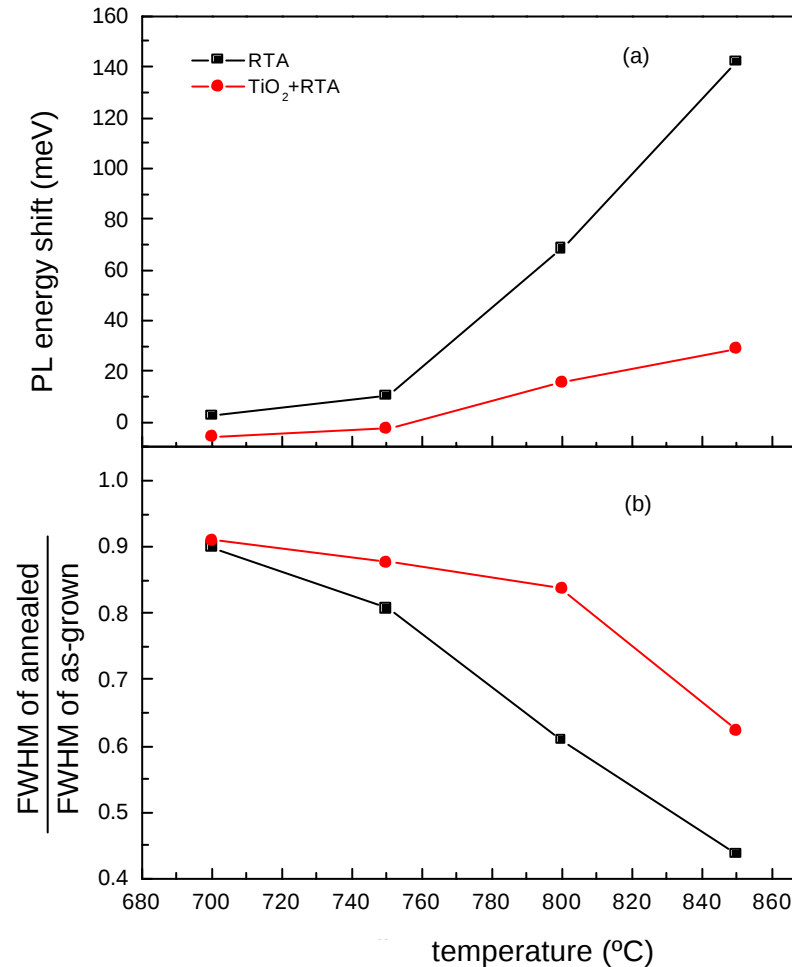
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IVFD of single layer QDs Spin on Glass (SOG)



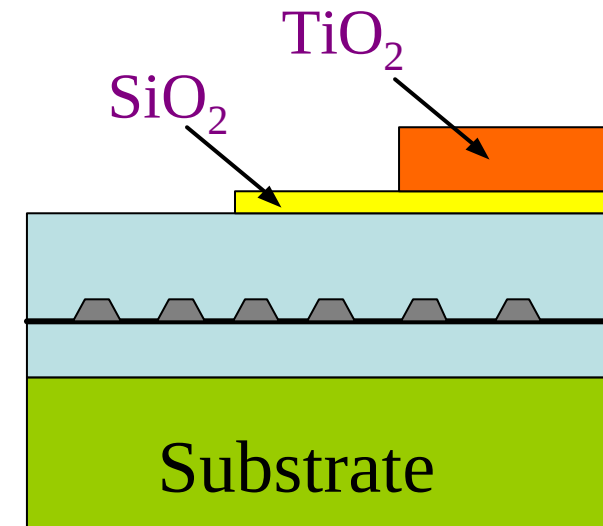
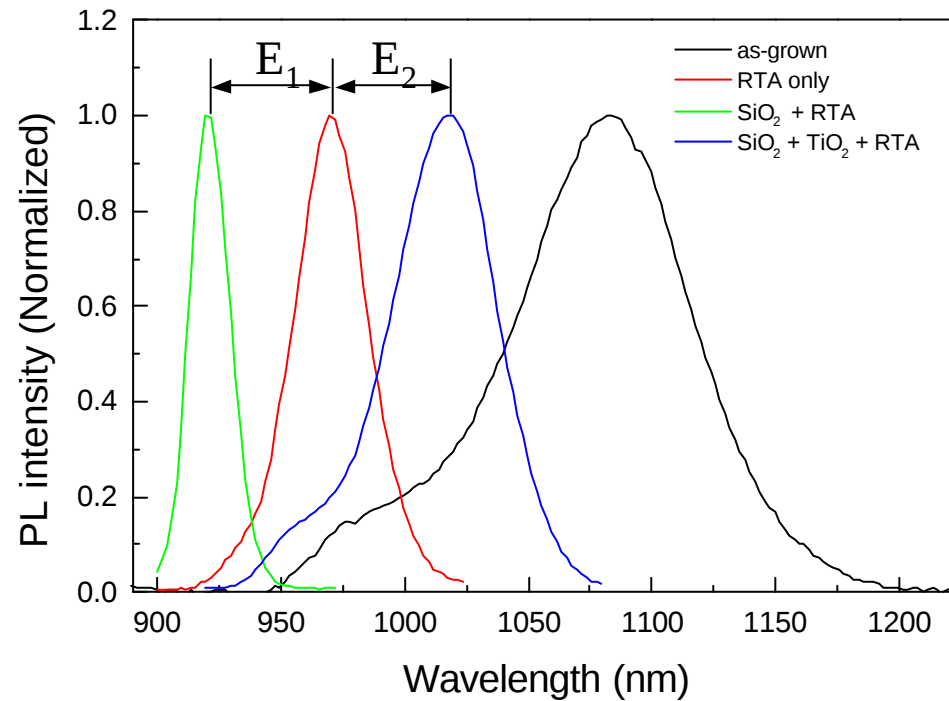
Suppression of thermal interdiffusion by TiO_2 as function of RTA temperature



L. Fu et.al.,
Appl. Phys. Lett.
82, 2613 (2003)

Suppression of interdiffusion using bi-layer $\text{SiO}_2 + \text{TiO}_2$

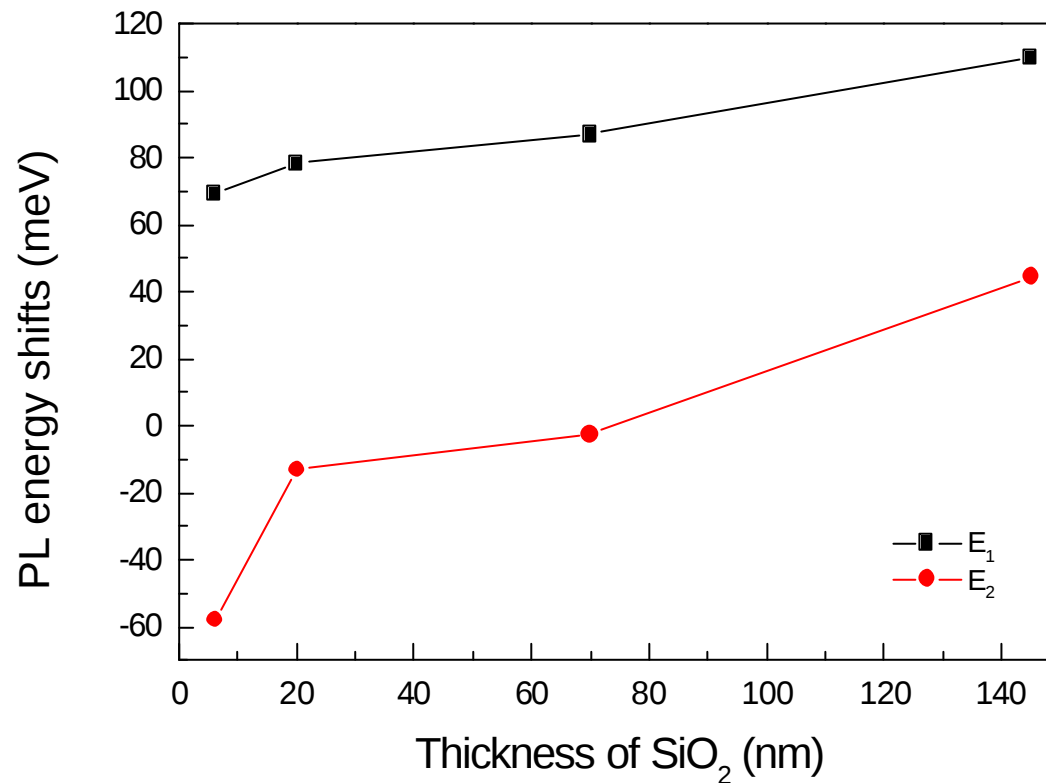
RTA: 850°C 30 s



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Suppression of interdiffusion using bi-layer $\text{SiO}_2 + \text{TiO}_2$ as a function of SiO_2 thickness



L. Fu et al, Appl. Phys. Lett. 82, 2613 (2003).

Thermal expansion coefficient

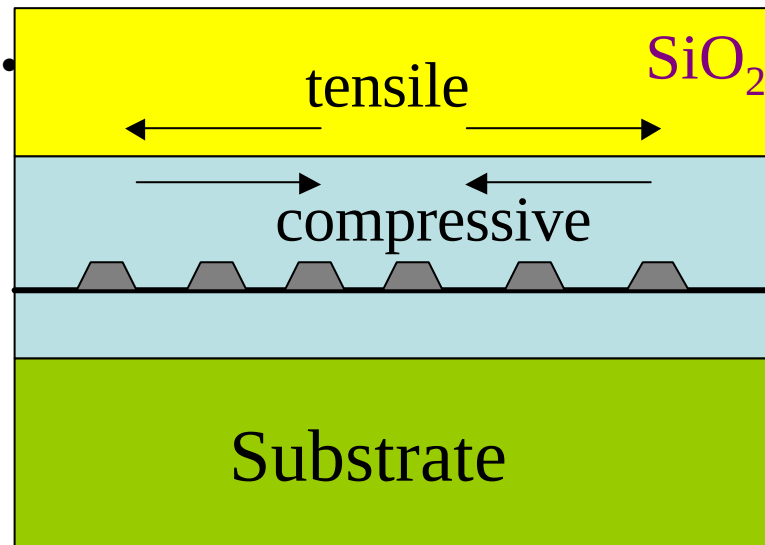
Material	α ($^{\circ}\text{C}^{-1}$)
GaAs	6.86×10^{-6}
SiO ₂	0.52×10^{-6}
TiO ₂	8.19×10^{-6}



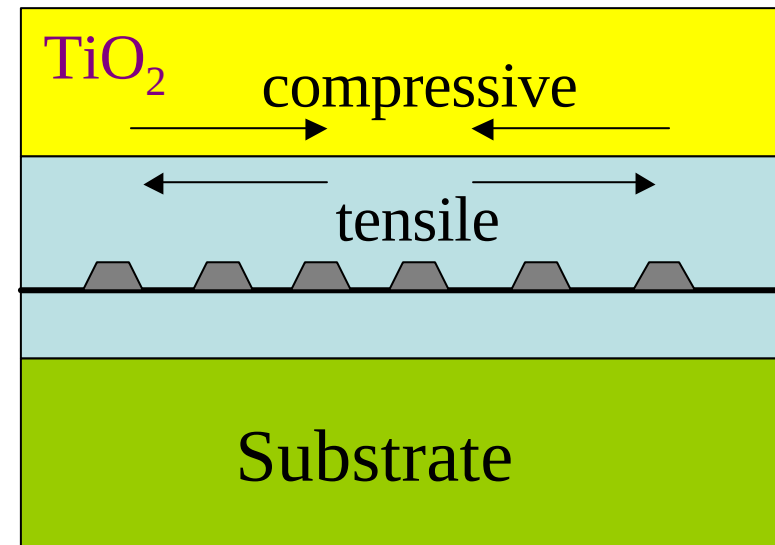
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Thermal stress effect



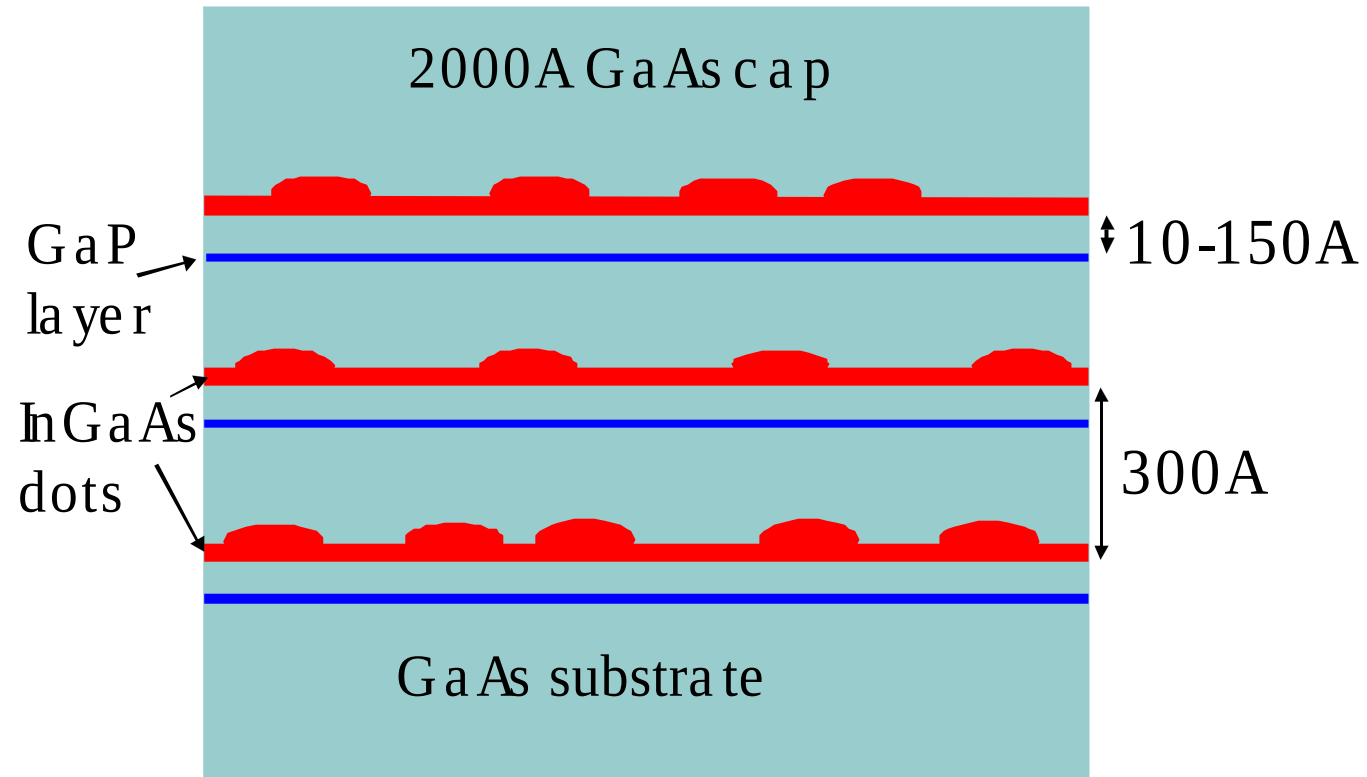
QDs



Compressive stress on GaAs surface $\Rightarrow$ favourable for V_{Ga} diffusion $\Rightarrow$ enhanced interdiffusion

Tensile stress on GaAs surface $\Rightarrow$ unfavourable for V_{Ga} diffusion $\Rightarrow$ inhibited interdiffusion

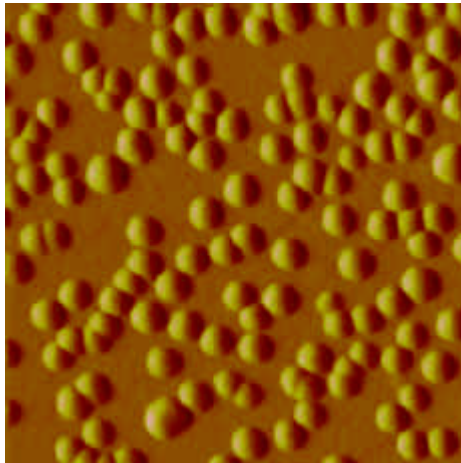
Stacked Dots



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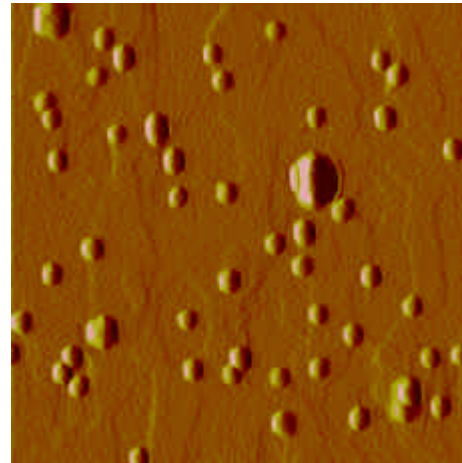


Growth of stacked layers



Single Layer

Density
 $\sim 4 \times 10^{10}/\text{cm}^2$

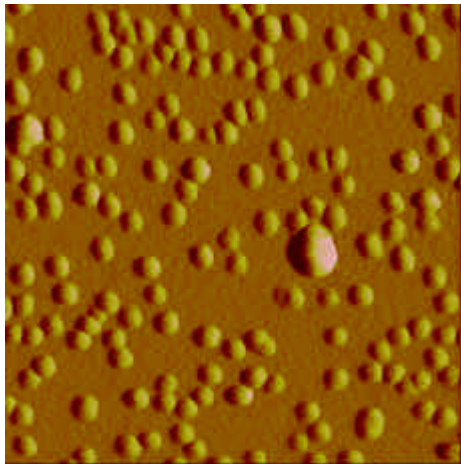


Top layer of 3-layer stack.

Original conditions

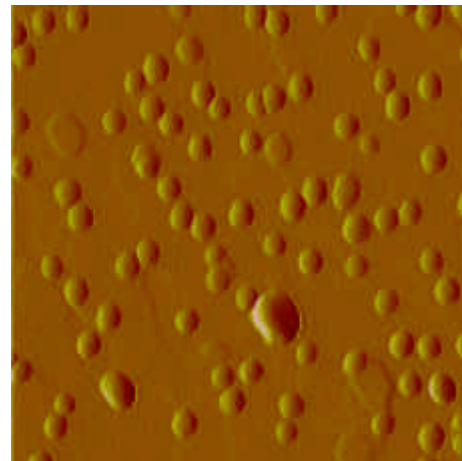
Dots smaller than for single layer.

Density
 $\sim 2 \times 10^{10}/\text{cm}^2$



Top layer of 3-layer stack.
With smoothing

Density
 $\sim 4 \times 10^{10}/\text{cm}^2$



Top layer of 3-layer stack.

Low V/III, with GaP layers.

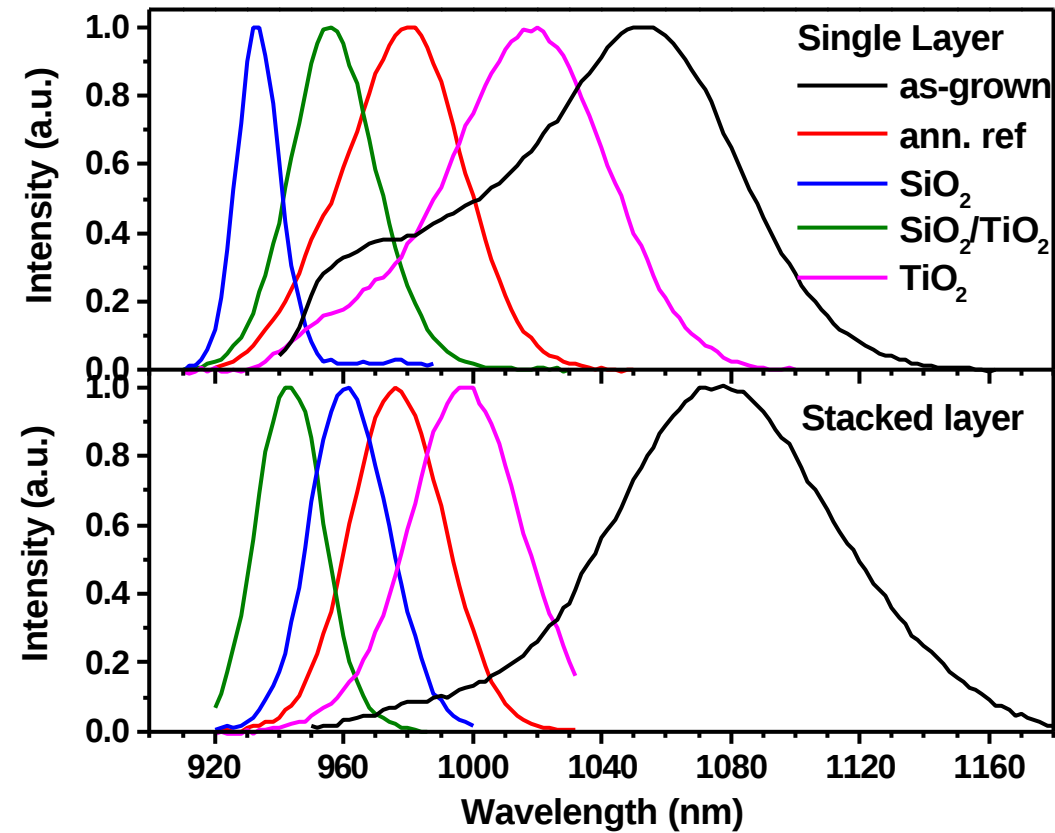
Dots slightly flatter.

Density
 $\sim 3 \times 10^{10}/\text{cm}^2$



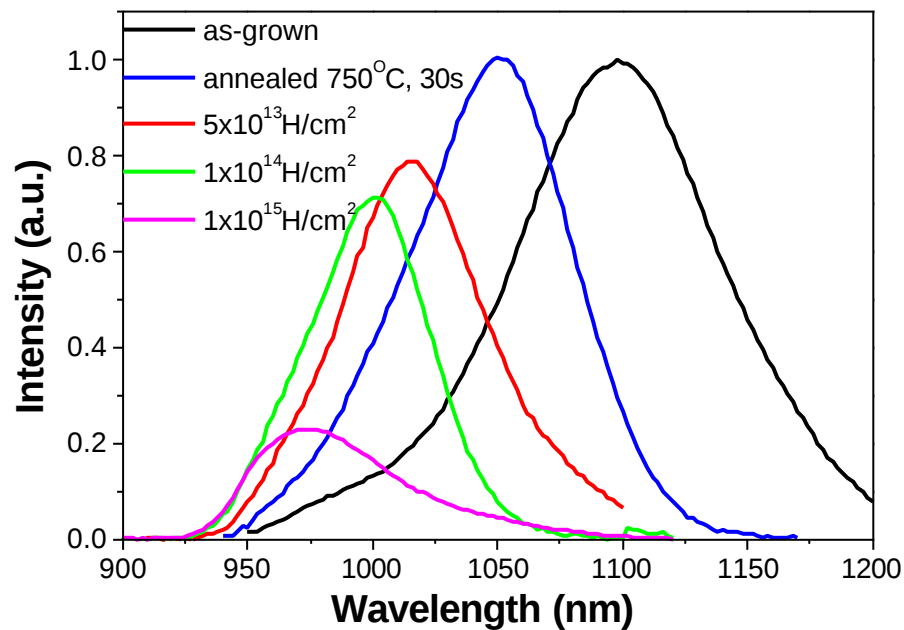
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IFVD of stacked QDs

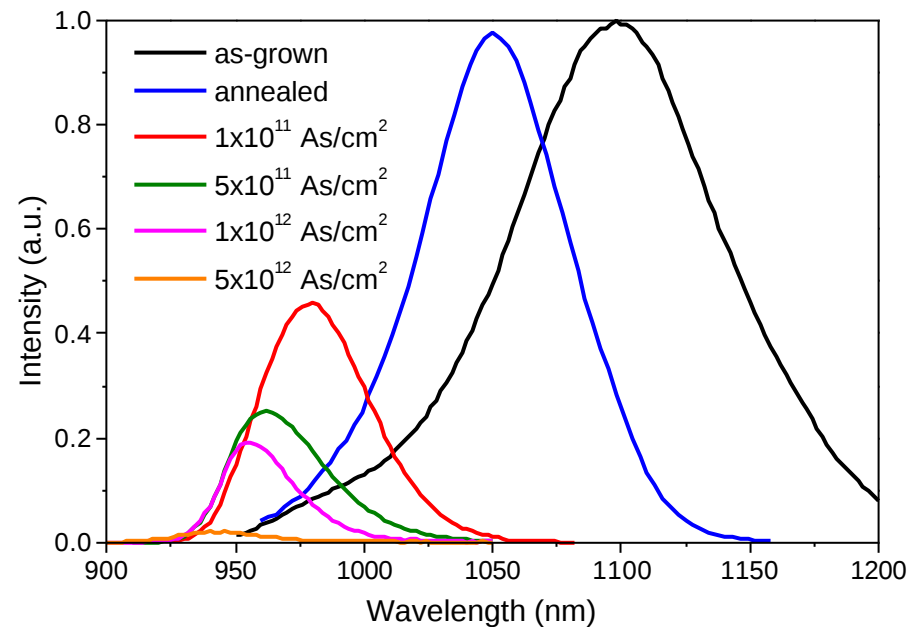


Implantation (Single QD Layers)

Protons



Arsenic Ions



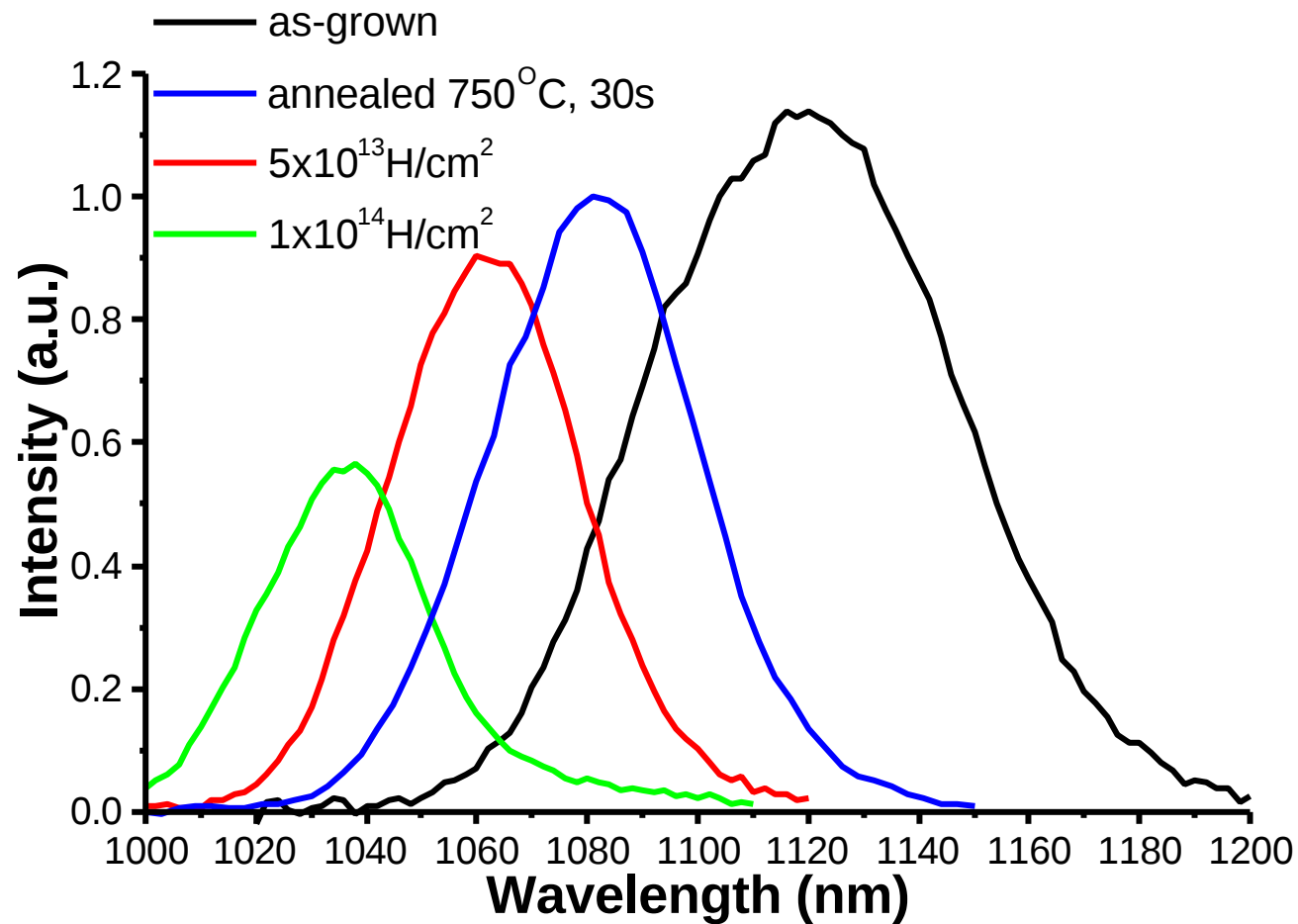
P. Lever, Appl. Phys. Lett. 82, 2053 (2003)



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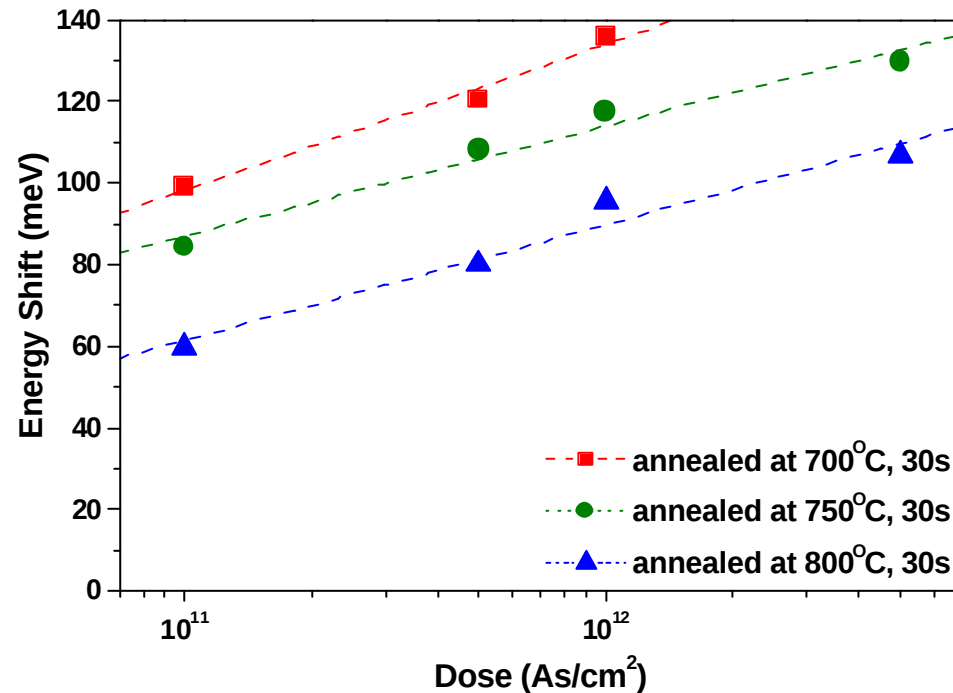
Stacked QDs -H implant



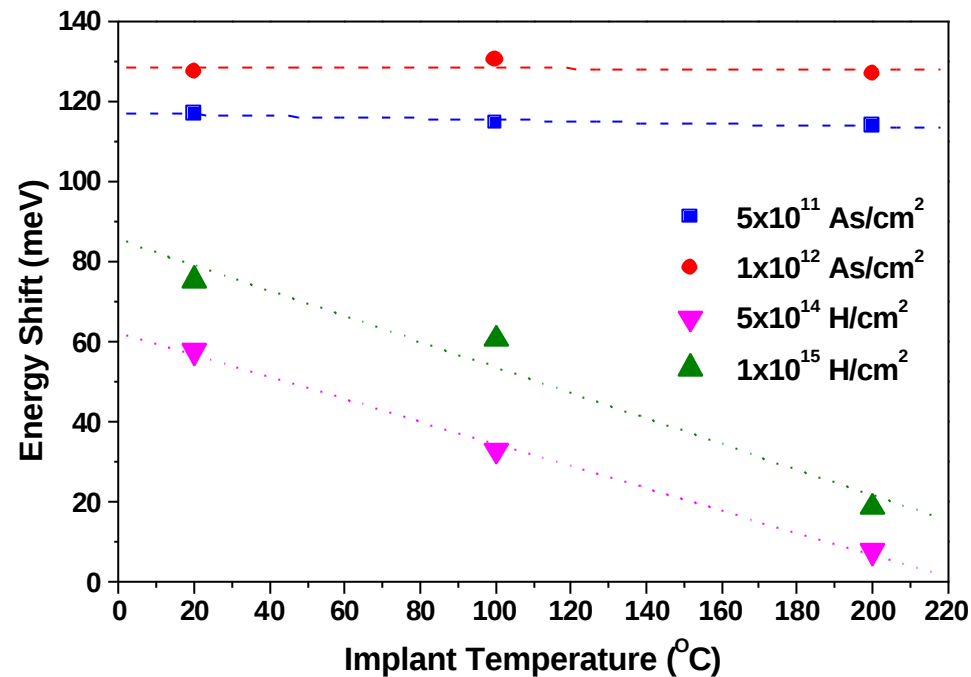
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Energy Shifts – As Ion Dose, Annealing Temperature (Single Layer of QDs)



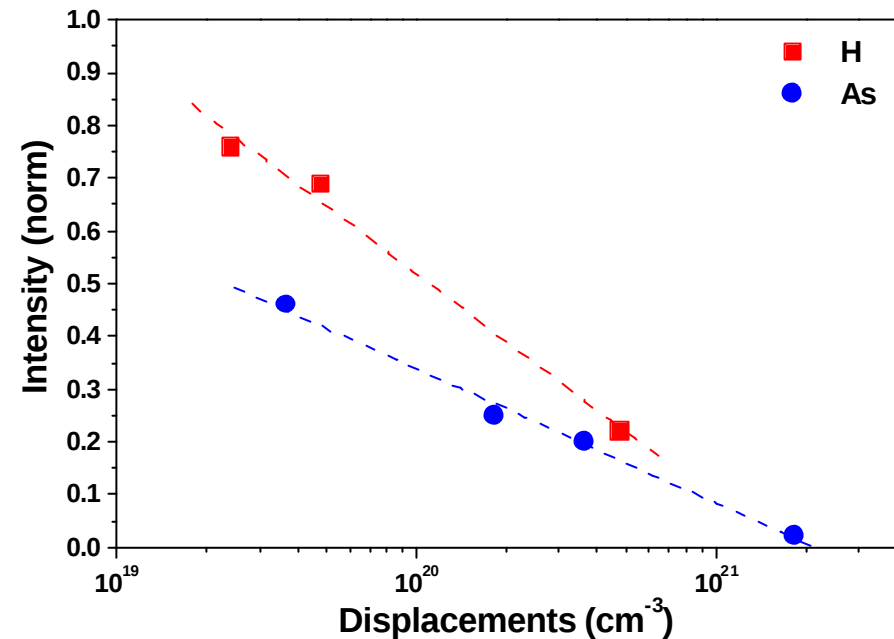
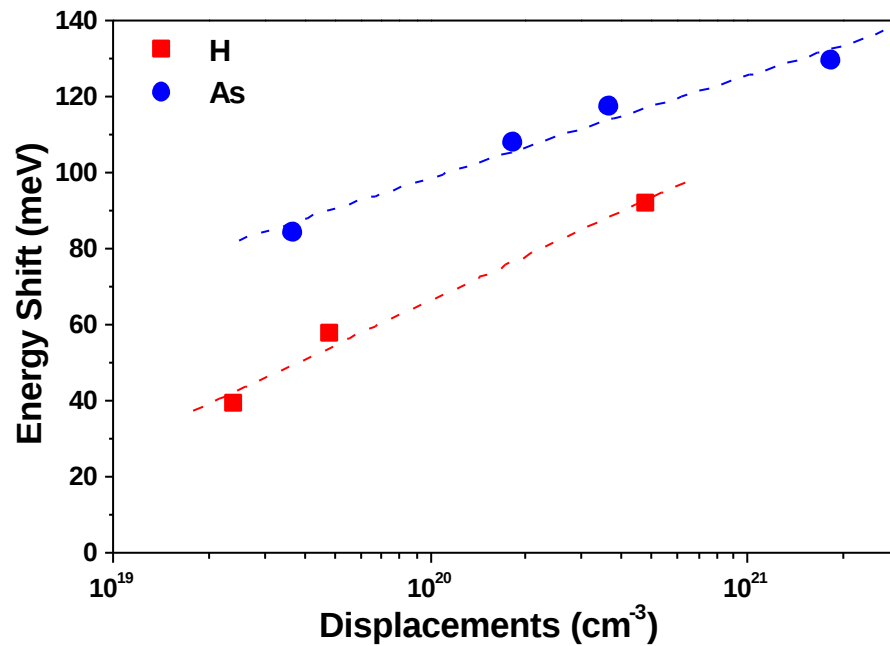
Energy Shifts vs Implant Temperature (Protons and Arsenic Ions)



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Energy Shift and PL Intensity Vs. Displacement Density



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Summary

Quantum Well and Quantum Dot Intermixing Techniques are promising for Optoelectronic Device Integration

Understanding defect generation, diffusion and annihilation processes are important for achieving QWI and QDI

Dopant diffusion issues need to be taken into consideration for Device Structures such as Lasers and Photodetectors

Acknowledgements

Australian Research Council – Funding

**Past and Present Members of Semiconductor Optoelectronics
And Nanotechnology Group, ANU (H.H.Tan, L. Fu, P. Lever, M. Buda,
P.N.K. Deenapanray, J. Wong-Leung, Q. Gao, C. Carmody, R.M. Cohen,
A. Clark, G. Li, M.I. Cohen, S. Yuan, Y. Kim, K. Stewart,
P. Gareso, A. Allerman...)**

**Many Collaborators in Australia (Mike Gal, M.B. Johnston. P.Burke,
L.V. Dao, P.Reece, B.Q. Sun, David Cockayne. Zou Jin) and Overseas**